Open Access (OA) Initiatives at the University of Calgary

Carleton University

May 25, 2009
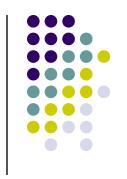
What We're Going to Cover

- A bit about me, a bit about you
- What is Open Access?
- Institutional repository
- University of Calgary Press
- Synergies
- The Open Access Authors Fund
- Libraries & Cultural Resources Open Access mandate
- Questions & discussion

A Bit About Me

- Serials librarian, OA stuff, interim manager of Serial Acquisitions unit

- Libraries & Cultural Resources (LCR)=University Library, Archives & Special Collections, The Nickle Arts Museum, and the University of Calgary Press

What is Open Access?

- Scholarly material that is freely accessible online, chiefly journal content
- No toll barriers (subscriptions, pay-per-view)
- Drivers behind Open Access:
  - Greater access to research information
  - Greater access to taxpayer-funded research
  - Greater (citation) impact
  - Increased author control
  - Journal subscription costs
- The two "roads/routes/arms" of Open Access:
  - "green" - Open Access repositories (OAR)
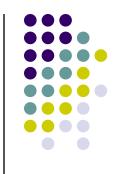 - "gold" - Open Access publishing

Institutional Repository

- Started March 2003
- Part of the CARL Institutional Repository Project (>30 repositories, >80% of Canadian university libraries)
- 2nd or 3rd largest repository in Canada
- Citations for over 14,000 items, approx. 9,000 leading to fulltext
- Journal articles, reports of many different sorts, datasets, theses and dissertations
- Almost all openly accessible
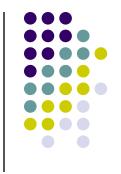
Institutional Repository - Issues

- Much repository software is Open Source; this is good but…

- Needs resources – to run the software (installation, maintenance, upgrade), to work with authors to deposit content

- Filling repositories – if you build it, will they come?
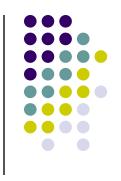
University of Calgary Press

- 2 OA journals: <u>Currents: new scholarship in the human services</u> and the <u>International electronic journal for leadership in learning</u> (IEJLL)

- Press is actively investigating plans for open monograph series

University Presses – Issues

- How do you pay for Open Access publishing?
- OA Journals: grants, subsidies, advertising, print subs paying for OA content, submission fees, etc.
- OA monographs: same things? OA leads to more print sales?
- Trying times for university presses

Synergies

- Aim is to bring Canadian arts, humanities, and social sciences journals into the online world

- CFI-funded

- Five chief partners: University of New Brunswick, Universite de Montreal, University of Toronto, University of Calgary, Simon Fraser University plus others

- At least some of the content will be openly accessible

Synergies - Issues

- Getting journals to participate in Synergies
- Project requires much co-ordination
- Large technological component

Open Access (OA) Initiatives at the University of Calgary

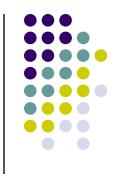# Open Access Authors Fund – What We're Going to Talk About

- What is the Open Access Authors Fund
- Criteria – for authors
- Criteria – for journals
- Other criteria
- The Fund in action
- Some issues
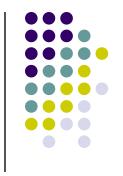
What is the Open Access Authors Fund?

- The Open Access Authors Fund is designed to pay the fees charged by some publishers for articles to be published in Open Access journals by University of Calgary authors

Criteria – for Authors

- Who is eligible?

- Faculty, staff, graduate students, postdoctoral researchers

- No undergraduate students, no adjuncts (for now)
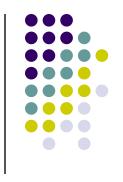
Criteria – for Journals

- Fully Open Access journals e.g. BMC, PLoS, Hindawi, some others

- "Hybrid" Open Access journals where subscription costs are lowered in response to the take-up of the OA program e.g. OUP, AIP (others?)

Open Access (OA) Initiatives at the University of Calgary

Other Criteria

- No limits to the number of submissions or costs
- Other fees (e.g. illustration, colour, page charges) are not covered
- Articles only (for now)
- Authors have to exhaust other funding before applying to the Fund
- Author options:
  - Put article in institutional repository
  - Use article in promotion of the Fund

Open Access (OA) Initiatives at the University of Calgary

The Fund in Action

- Operating since September 2008
- 45 official submissions
- Most authors from biomedical fields
- Publishers represented in submissions: BMC, PLoS, Hindawi, Dove, Bentham, IOP, Wiley-Blackwell, Cases
- 3 rejections, 1 withdrawl, 39 approved, rest are pending

The Fund in Action (cont.)

- About $62,350 CAN in payments so far
- Average payment: $1598 CAN
- Range of payments: $200-$3146 CAN
- Some prepayments and memberships e.g. BMC, PLoS, Hindawi
- Reimbursed authors back to April 1, 2008
- 1st in Canada, 6th in the world

Some Issues

- Are we being too generous?

- Are we being taken advantage of?

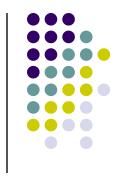- Are we paying more than if we just had individual subscriptions?

- The Fund vs. the economic situation

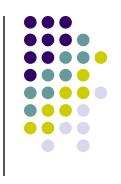# Libraries and Cultural Resources Open Access Mandate

- Passed by LCR in spring 2009

- Members of LCR have committed to make their scholarly output openly accessible by depositing their content in the U of C institutional repository

- 1st mandate among a library group in Canada, the 2nd of that nature anywhere, and the 79th mandate of any sort worldwide

OA Mandate - Issues

- Buy-in from participants (unanimous vote at LCR)

- Still a variety of concerns expressed e.g. who will do the deposition? What if an author can't get permission from a publisher? What if illustrations can't be deposited?

Resources

- Peter Suber's Open Access pages: http://www.earlham.edu/~peters/fos/

- Libraries and Cultural Resources, University of Calgary: http://lcr.ucalgary.ca/

- Budapest Open Access Initiative: http://www.soros.org/openaccess/

- Bethesda Statement on Open Access: http://www.earlham.edu/~peters/fos/bethesda.htm

- Berlin Declaration on Open Access to Knowledge in the Sciences and Humanities: http://oa.mpg.de/openaccess-berlin/berlindeclaration.html
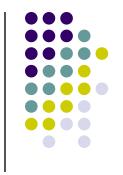
Resources pt. 2

- arxiv: http://arxiv.org/
- RePec: http://repec.org/
- E-LIS: http://eprints.rclis.org/
- PubMed Central: www.pubmedcentral.nih.gov
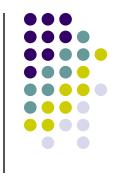
Resources pt. 3

- University of Calgary Institutional Repository:
  https://dspace.ucalgary.ca/
- Canadian Association of Research Libraries (CARL) Institutional Repositories Project: http://www.carl-abrc.ca/projects/institutional_repositories/canadian_projects-e.html
- Registry of Open Access Repositories (ROAR): http://roar.eprints.org/
- The Directory of Open Access Repositories (OpenDOAR):

  http://www.opendoar.org/

Resources pt. 4

- University of Calgary Press: http://www.uofcpress.com/
- Currents: new scholarship in the human services: http://www.ucalgary.ca/currents/
- International electronic journal for leadership in learning: http://www.ucalgary.ca/iejll/
- Public Knowledge Project: http://pkp.sfu.ca/about
- Synergies: www.synergiescanada.org/index_en.html
- Synergies prairie site: http://synergiesprairies.ca/

Resources pt. 5

- Open Access Authors Fund: http://library.ucalgary.ca/services/for-faculty/open-access-authors-fund-0

- Libraries & Cultural Resources Open Access mandate: http://library.ucalgary.ca/open-access/libraries-cultural-resources

- List of funder and institutional mandates: http://www.eprints.org/openaccess/policysignup/

- Publisher copyright & self-archiving policies: www.sherpa.ac.uk/romeo.php

- Scholarly Publishing & Academic Resources Coalition (SPARC): http://www.arl.org/sparc/

Questions? Discussion?

Open Access (OA) Initiatives at the University of Calgary

Your Speaker

Andrew Waller

Serials Librarian

University of Calgary

waller@ucalgary.ca

(403) 220-8133 voice

(403) 284-2109 fax