جامعة الملك عبدالله
للعلوم والتقنية
King Abdullah University of
Science and Technology

Open Access, Library Subscriptions, and Article Processing Charges

Dear Publishers, we can't afford to pay ~~twice~~ thrice!

QQML LONDON 2016

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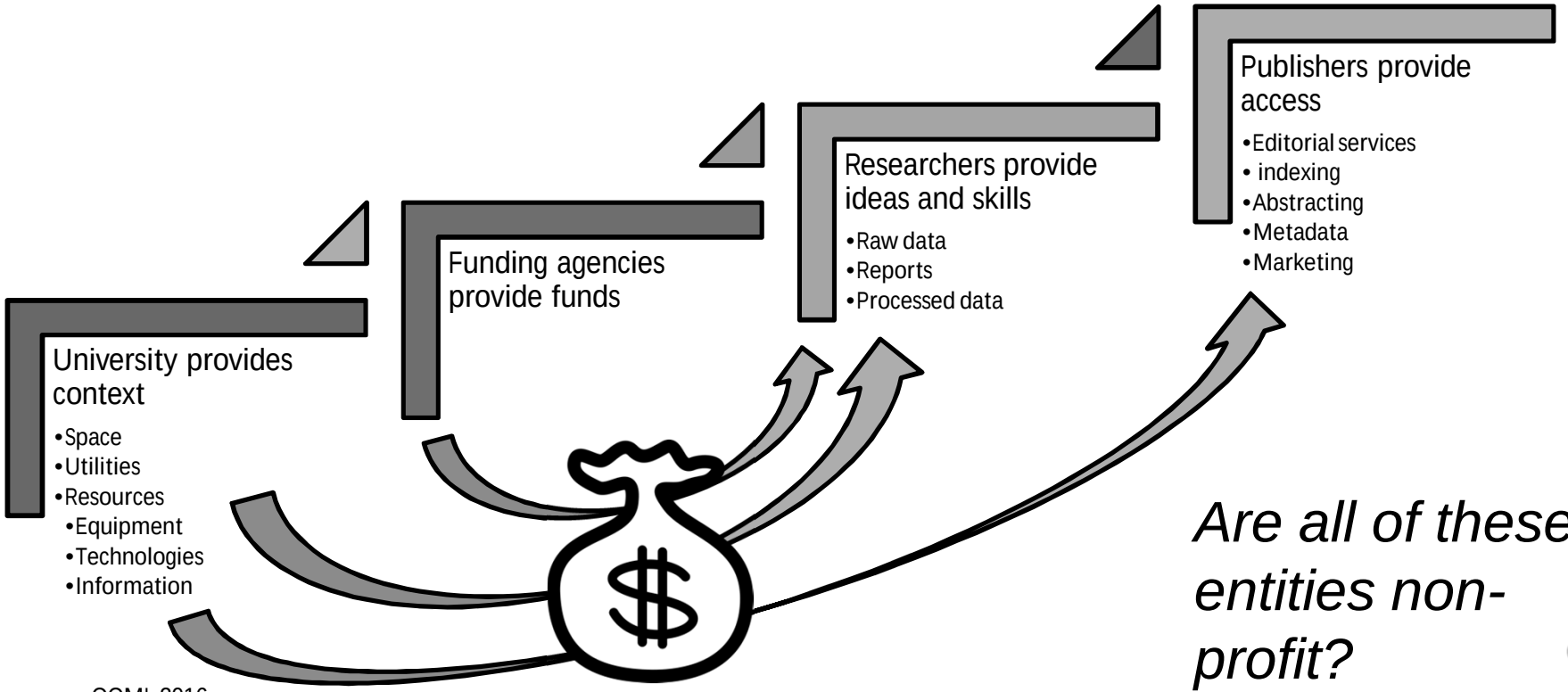
Through Inspiration, Discovery

King Abdullah University of Science and Technology

Defining the problem



Are all of these entities non-profit?

Open access types

- Green access funded by university
    - *It's green because it costs you more!*
- Gold access funded by
    - Article processing charges
    - Other means

KAUST repository peer reviewed articles

How important are these terms?

More important is understanding your options with publishers and your negotiating strengths.

What did it cost us to produce these articles?
How much are we paying now to get them back?

Offset Models: American Chemical Society ACS

ACS AuthorChoice

Expanded open access publishing options for authors and their sponsors

Expanded ACS AuthorChoice— Options and Prices Effective January 1, 2014*

With discount incentives for ACS Members and authors at institutions subscribing to the Web Editions of All ACS Publications.

	Immediate Availability	Availability after 12 Months
ACS AuthorChoice	$4,000	$2,000
Discounted Price for Authors at "All ACS Publications" Subscribing Institutions	$3,000	$1,500

ACS Member Prices

	Immediate Availability	Availability after 12 Months
ACS AuthorChoice (Member Rate)	$2,000	$1,000
Discounted Price for ACS Members at "All ACS Publications" Subscribing Institutions	$1,500	$750

Creative Commons License Options

$1,000 Standard price for Creative Commons Attribution (CC-BY) or Creative Commons Attribution Noncommercial No Derivative Works (CC-BY-NC-ND)

$500 ACS member price for Creative Commons Attribution (CC-BY) or Creative Commons Attribution Noncommercial No Derivative Works (CC-BY-NC-ND)

ACS Author Rewards

Credits to fund open access publishing options from ACS

Offset Models: Royal Society of Chemistry RSC

- *RSC Gold subscription:*
  - voucher codes to make papers available via OA, free of charge.
  - number of voucher codes is calculated by dividing the subscription the RSC receives from an institution by £1,600 (the APC of RSC).

National/Disciplinary Offset Models

- **SCOAP3 (Particle Physics journals)**
- **JISC (UK)** developed several offset systems with publishers:
  - Wiley
  - Taylor and Francis
  - IOP Publishing
  - SAGE Publishing
  - Springer
- **Netherlands**
  The Dutch government in 2014 recommended a policy to gold open access aiming at 100 percent open access to Dutch scientific publications in 2024, with 60 percent being open access in five years (2019). The VSNU, Association of universities in the Netherlands, therefore started negotiations on offsetting with different publishers and came to an agreement with
  - Wiley
  - Springer
  - Elsevier

National/Disciplinary Offset Models

- **Austria**
  In Austria, several offsetting models are also already in place with
  - Taylor and Francis
  - IOP Publishing
  - Springer

  "From 2016 onward, license agreements with publishers should be concluded in a manner that the research publications of authors from Austria are automatically published Open Access."

- **Germany**
  So far there hasn't been any country- or consortia-wide offsetting negotiations in Germany. However, Max Planck Society in 2015 entered in an agreement with Springer which allows Max Planck researchers to publish their papers open access in Springer journals in addition to the reading access.

An Institutional model?

- MIT-Springer Author Rights Agreement,
  - allows MIT authors to post on author's or author's departmental web pages and after 9 months, may be archived and/or deposited in any repository for noncommercial purposes.
  - Applicable to articles published in a Springer journal subscribed (2009 -2017)

New License Terms: the Wild West!

In our experience:

- Off-set agreements are usually not disclosed
- Increased competition should reduce costs
- No individual institution level models yet
- We started negotiating with others to have an institutional level off-set model agreed and signed via subscription licenses.
  - RSC off-set pricing model (Gold for Gold) is part of our subscription license.

ESaC Efficiency and Standards for Article Charges

INTACT (Transparent Infrastructure for Article Charges) aims at establishing transparent and efficient procedures to manage article processing charges (APC) for open access publications.

Joint Understanding of Offsetting (in March 2016)

- Offset deals are pilot models (in transition) should therefore lead to a proper open access model (agreement between Publishers and Institutions)
- Opportunity to overcome dysfunctionalities as known from the current subscription system and to improve the business for scholarly publishing
- Need Mechanisms of offsetting agreements, reduce workloads etc
- Tools and infrastructure should be in place (by Publishers)

URL: http://esac-initiative.org/wp-content/uploads/2016/05/esac_offsetting_joint_understanding_offsetting.pdf

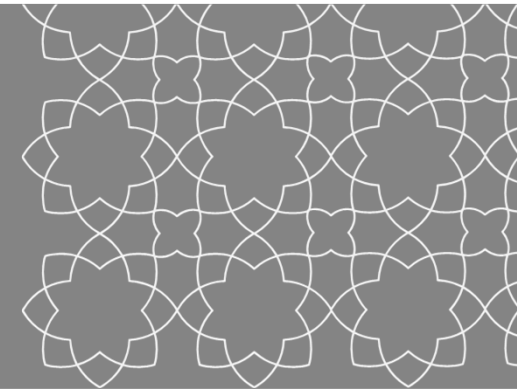
Joint COAR-UNESCO Statement on Open Access

- There is no “one size fits all” solution to implementing open access
- Consider institutions with smaller budgets and developing countries
- Avoid large scale monopoly and concentration in the international publishing industry
- Encourage non-APC based journals that support OA and enhance the Repositories with innovative systems.

URL: http://www.unesco.org/new/fileadmin/MULTIMEDIA/HQ/CI/CI/pdf/news/coar_unesco_oa_statement.pdf

Our Recommendations

- Review ESAC recommendations
- Note Joint COAR-UNESCO statement
- Collaborate with peers on license terms
- Analyze your data: your mileage WILL vary



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Vijay & Molly

THANK YOU!