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# ALCTS Scholarly Communication Discussion Group

American Library Association Annual Meeting, Toronto

June 23, 2003

9:30 AM - 11:00 AM

Metro Toronto Convention Center



#### THANK YOU!

- Taemin K. Park, Ph.D. (Indiana University Libraries, Bloomington)
- Michelle Sitko (Marywood University Library)
- Anne McKee (Greater Western Alliance)
- Carolyn K. Coates (Eastern Connecticut University Library)
- Ann S. Doyle (University of Kentucky Libraries)



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#### DISCLAIMER

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## <QUOTE#1>

"Life is what happens to you while you're busy making other plans."

Attributable to John Lennon



© Richard Avedon



#### <QUOTE#2>



"You say you want a <u>revolution</u>
Well, you know
We all want to change the world
You tell me that it's <u>evolution</u>
Well, you know
We all want to change the world."



#### <QUOTE#3>



"You say you've got a real <u>solution</u>
Well, you know
We'd all love to see the plan
You ask me for a <u>contribution</u>
Well, you know
We are doing what we can."



#### <QUOTE#4>



"You say you'll change the <u>constitution</u>
Well, you know
We all want to change your head
You tell me it's the <u>institution</u>
Well, you know
You'd better free your mind instead."

John Lennon (1940-1980) "Revolution #1"

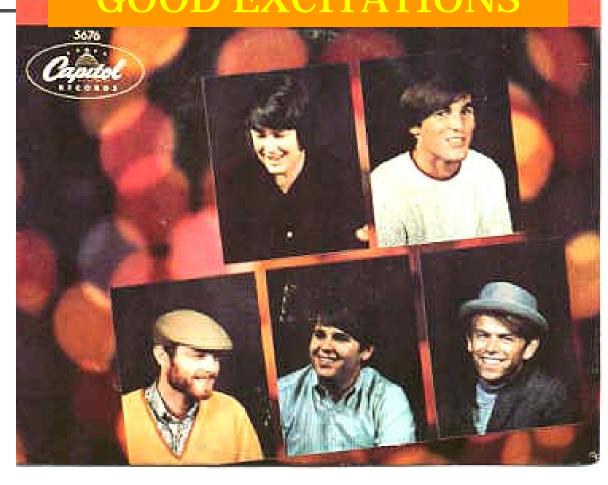
Hey Jude/ Revolution (August 1968)



## Scholarly Publishing

- Revolution
- Evolution
- Solution
- Contribution
- Constitution
- Institution
- EXCITATION







#### "APPROPRIATE EXCITATION"

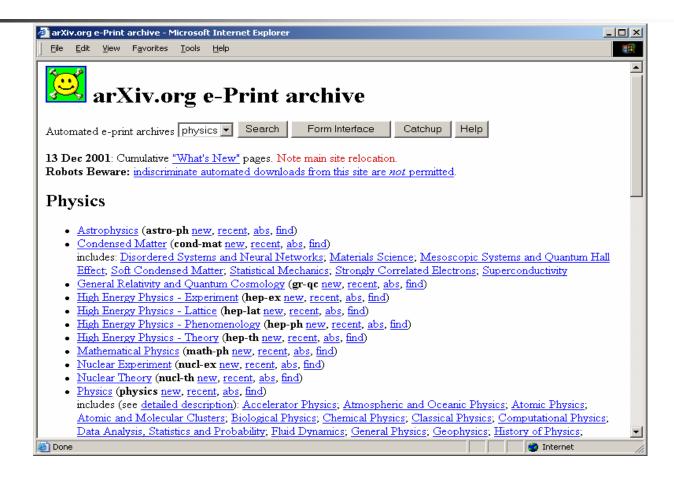
"I believe that the collective behavior and information exchange pattern of any community depend on their culture, their shared values and their past and current practices. These things are very difficult to change, but given the *appropriate excitation* (emphasis added) they can change very fast."

#### Imre Simon

Re: Discipline Differences in Benefits/Feasibility of Open Access?", Posting to *September 1998 American Scientist Forum* electronic discussion list, November 24, 2002, 17:20, available at: <a href="http://www.ecs.soton.ac.uk/~harnad/Hypermail/Amsci/2399.html">http://www.ecs.soton.ac.uk/~harnad/Hypermail/Amsci/2399.html</a> (accessed 7 June 2003).

## **EXCITATIONS**

ArXiv.org	CogPrints	RePEc
eScholarship Repository	Glasgow ePrints Service	Knowledge Bank (OSU)
DSpace™	E-Print Repository (ANU)	Scholarly Publishing Office (Michigan)
ELSSS	SPARC	The Stoa Consortium
<b>BioMed Central</b>	GNU EPrints Software	Public Library of Science
DARE	FAIR	SciELO



http://xxx.arXiv.cornell.edu



## arXiv.org: e-Print Archive (1)

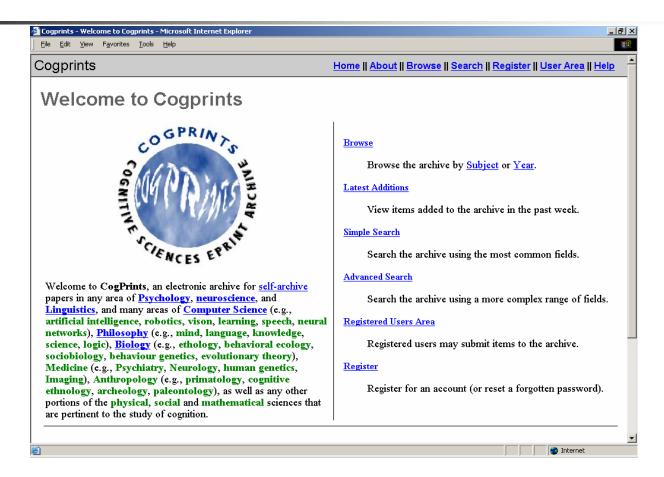
- Established in August 1991 by Paul Ginsparg, Los Alamos National Laboratory (now at Cornell)
- Originally for High-Energy Physics community; now Physics, Mathematics, Non-linear Sciences, Computer Science
- Automated the process by which authors could submit electronic preprints (or postprints)
- Allowed researchers and others to directly search and retrieve the full-text of documents



## arXiv.org: e-Print Archive (2)

- 220,000 submissions (December 31, 2002);
- 3 million accesses / month
- arXiv.org e-print service has also served as

   '... a model of rapid, direct and relatively cheap
   interaction in which researchers participate as
   producers, distributors and users of information
- Now owned and operated by Cornell University, and funded by Cornell and the National Science Foundation (NSF)



http://cogprints.ecs.soton.ac.uk/



# CogPrints:

## Cognitive Sciences EPrint Archive (1)

- Launched in August 1997 by Stevan Harnad, Professor of Cognitive Science, Department of Electronics and Computer Science, University of Southampton (UK)
- Psychology, Neuroscience, Linguistics, and many areas of Computer Science
- Presently implemented using GNU EPrints 2 archive-creating software (software.eprints.org)



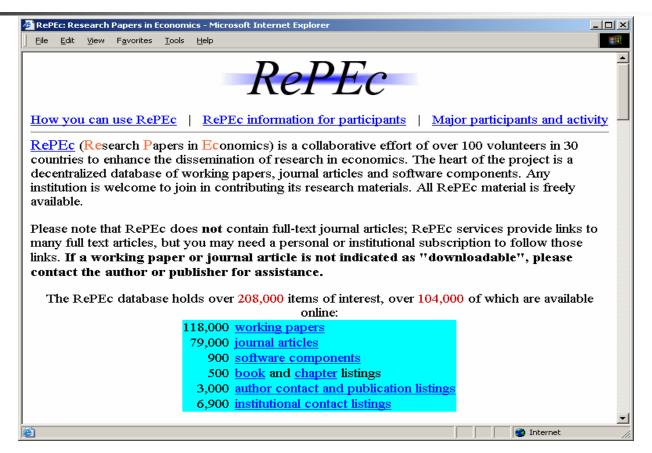
# CogPrints:

## Cognitive Sciences EPrint Archive (2)

Stevan Harnad: a vocal advocate of author self-archiving and institutional repositories:

For Whom the Gate Tolls? How and Why to Free the Refereed Research Literature Now, Through Online Self-Archiving

http://eprints.ecs.soton.ac.uk/archive/00005944/



http://repec.org

#### RePEc:



#### Research Papers in Economics (1)

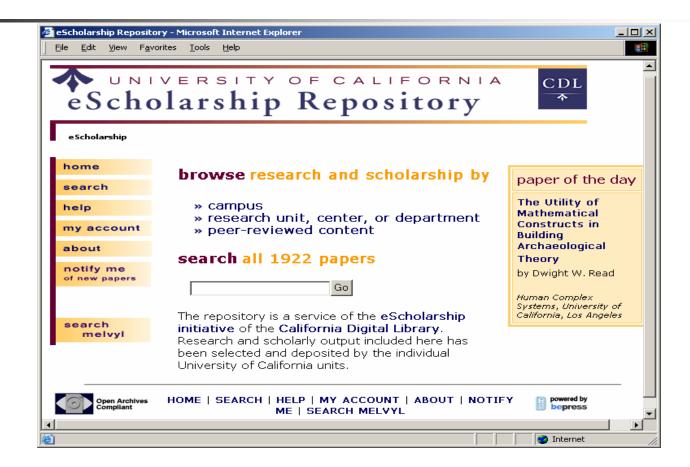
- RePEc is 'a collaborative effort of over 100 volunteers in 30 countries to enhance the dissemination of research in economics'; originated by Thomas Krichel, now Palmer School of Library and Information Science
- At the core of RePEc is a decentralized database of working papers, journal articles, and software components

#### RePEc:



#### Research Papers in Economics (2)

- RePEc provides access to one of the largest sources of freely-downloadable e-prints in the world
- As of mid-June 2003, RePEc contained records for more 208,000 items of interest, with more than half of these (104,000) available as full text
- Currently more than 118,000 working papers, 79,000 journal articles, 900 software components, and 3,000 author and 6,900 institutional contact listings



http://repositories.cdlib.org/escholarship/



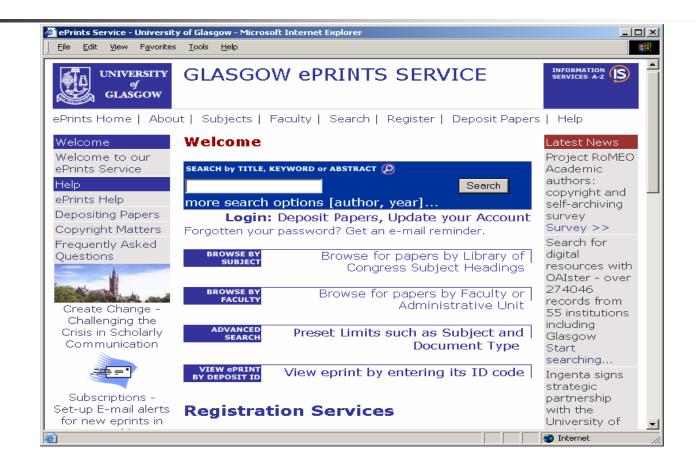
## eScholarship Repository (1)

- Inaugurated in April 2002, the eScholarship Repository provides a central location for University of California faculty to deposit electronic versions of working papers, pre-publications, and research reports
- Sponsored by the California Digital Library (CDL) (www.cdlib.org) - a 'co-library of the campuses of the University of California' - the repository offers persistent access and facilitates discovery of UC faculty scholarship



- eScholarship Repository offers university units, centers, and departments:
  - an alternative to commercial ventures or self-publishing
  - free access to advanced electronic publication technology
  - software that facilitates expeditious and efficient publication
  - ability to upload associated content, e. g., images, spreadsheets,
     Microsoft PowerPoint presentations, etc.
  - world-wide accessibility to scholarship via the Open Archives Initiative (OAI)
  - increased visibility of individual and organizational research and programs
  - e-mail alerting service for new content





http://eprints.lib.gla.ac.uk/



## Glasgow ePrints Service (1)

- The Glasgow ePrints Service is 'an experimental Open Archives (www.openarchives.org) service established to provide access to the full text of the research output of scholars, scientists, and researchers affiliated with the University of Glasgow, and intended to a complement current conventional publication routes and services'
- It is expected that participation in the ePrints service will make university faculty scholarship more 'visible, accessible and usable through a global network of interlinked Open Archive services'

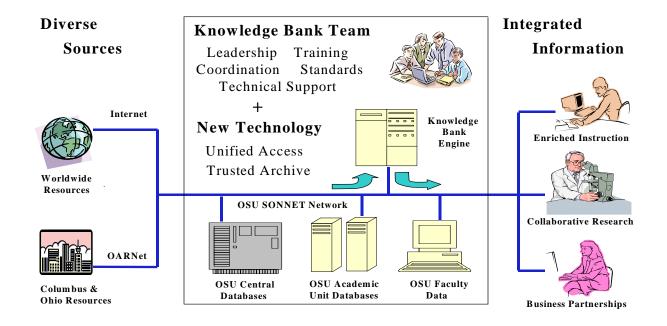


## Glasgow ePrints Service (2)

- A wide variety of document types can be submitted, and include:
  - conference papers
  - gray literature
  - preprints
  - project reports
  - published papers
  - technical reports
  - theses
- Browse by classes and subclasses of the <u>Library of</u> <u>Congress Classification (LCC) Outline</u>



#### The OSU Knowledge Bank



http://www.lib.ohio-state.edu/Lib\_Info/scholarcom/KBproposal.html



# Ohio State University Knowledge Bank (1)

The concept of the Knowledge Bank (or Research Bank) was first articulated in 2001 during deliberations of the Ohio State University (OSU) Distance Learning/Continuing Education Committee upon realization that 'the generation, collection, indexing, and preservation of intellectual content ... might benefit from the application of new digital technology and more focused organization'



# Ohio State University Knowledge Bank (2)

- The Knowledge Bank is envisioned as both a referatory and a repository
- The former is viewed as a gateway to digital objects produced by OSU faculty, staff, and students, while the latter is envisioned as an electronic archive for the digital content created at the university that facilitates long-term 'use, dissemination, and preservation'



http://dspace.org



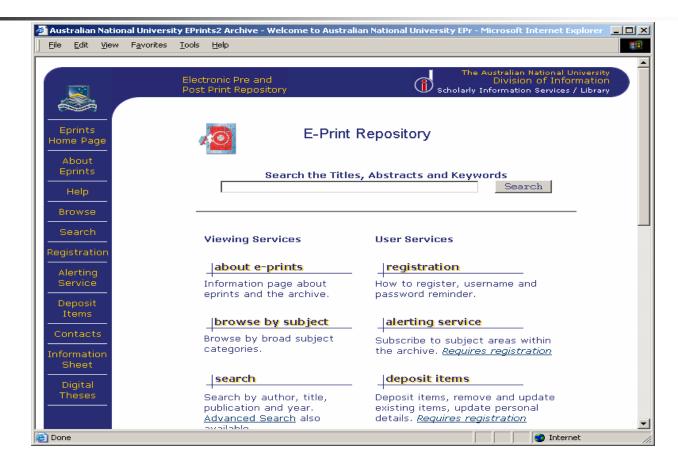
## $DSpace^{TM}$ (1)

- DSpace<sup>™</sup> is a 'newly-developed digital repository [originally] created to capture, distribute and preserve the intellectual output of faculty and staff of the Massachusetts Institute of Technology (MIT)'
- DSpace<sup>™</sup> is organized to accommodate the multidisciplinary and organizational needs of a large institution and can provide access to the digital intellectual assets of an entire institution



## DSpace $^{TM}$ (2)

- DSpace<sup>™</sup> not only accepts text submissions, but images, audio, and video as well
- Among the types of resources it can accommodate are: articles, preprints, working papers, technical reports, conference papers, as well as books, theses, <u>data sets</u>, <u>computer</u> <u>programs</u>, and <u>visual simulations</u> and <u>models</u>
- DSpace<sup>TM</sup> software is freely-available http://sourceforge.net/projects/dspace/



http://eprints.anu.edu.au/

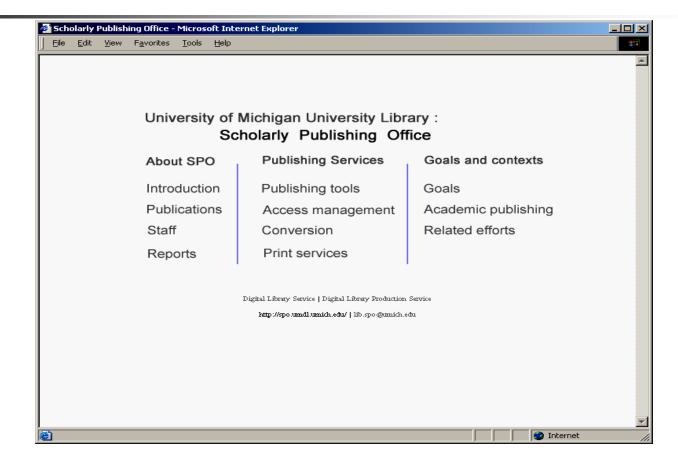
## Australian National University E-Print Repository (1)

- The E-Print Repository was established in September 2001 by the Scholarly Information Services unit of the Australian National University (ANU), under the direction of then University Librarian Colin Steele
- The intent of this project is to provide ANU with 'an electronic archive of research literature [as well as] a framework ... [that] will ultimately allow for the retention and distribution of research literature outside the multi-national publishers'

## Australian National University E-Print Repository (2)

- The E-Print Repository is part of a broader national initiative undertaken by the Standing Committee on Information Policy (SCIP) of the Australian Vice-Chancellors Committee (AVCC). This initiative aimed to:
  - improve economics in scholarly communications
  - improve accessibility to the work of Australian scholars
  - enhance access and contribution to internationally linked scholarship
  - increase awareness of Australian research
  - create an archive of the publications produced at individual institutions





http://spo.umdl.umich.edu/



# University of Michigan University Library Scholarly Publishing Office (1)

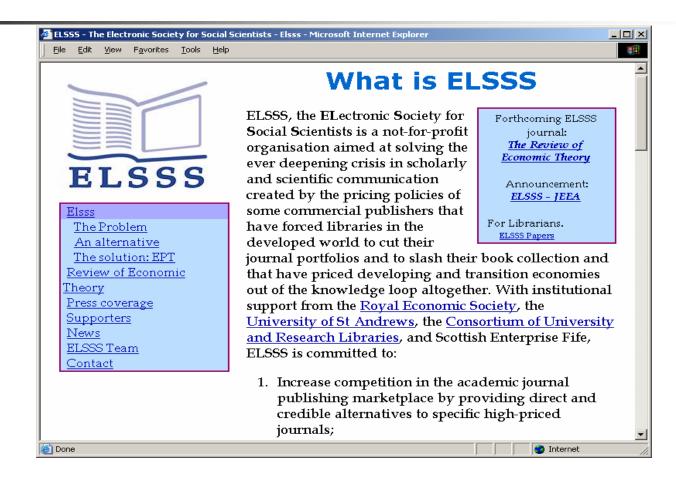
- The Scholarly Publishing Office (SPO) is a unit of the University of Michigan Library responsible for developing and providing tools and methods for the electronic publication and distribution of scholarly content
- This unit supports 'the traditional constructs of journal and monographic publication in an online environment, as well as publishing scholarly work expressly designed for electronic delivery'



# University of Michigan University Library Scholarly Publishing Office (2)

• Overall, the Scholarly Publishing Office exists 'to develop services that are responsive to the needs of both producers and users, to foster a better economic model for campus publishing, to support local control of intellectual assets, and to create highly functional scholarly resources'





http://www.elsss.org.uk/

#### **ELSSS**:

## ELectronic Society for Social Scientists (1)

- ELSSS was launched in November 2000 as 'an innovative solution' to the 'serials crisis' the rapid and substantial increase in journal subscription prices that far exceed the level of institutional library support for journal subscriptions
- ELSSS is 'a not-for-profit organisation aimed at solving the ever-deepening crisis in scholarly and scientific communication created by the pricing policies of some commercial publishers'

## **ELSSS**:



- Specifically, 'ELSSS aims to provide head-to-head competition to named high-price commerciallyproduced journals in the social sciences generally, and in economics'
- To attract the highest quality submissions, ELSSS plans to offer:
  - high level quality control: only submissions that match or exceed the quality of ELSSS competition will be accepted for publication
  - significantly shorter submission-to-decision time-lag
  - wider readership and higher impact
  - an 'opportunity to interact with fellow academics in ways not offered by high-priced journals'



http://www.arl.org/sparc/

## **SPARC:**

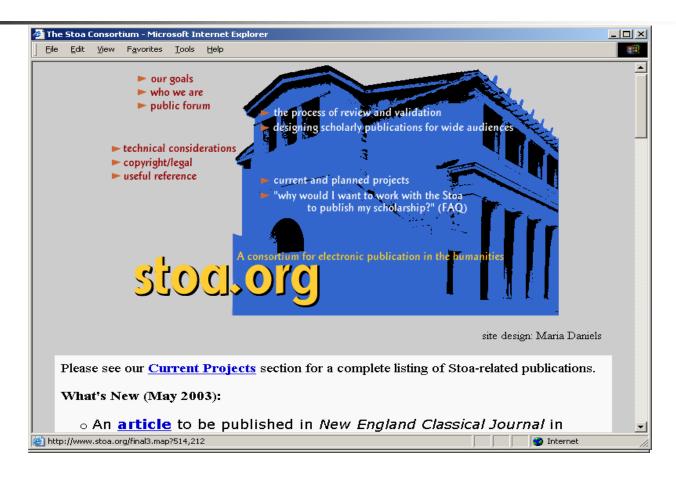
## Scholarly Publishing and Academic Resources Coalition (1)

Launched in June 1998, The *Scholarly* Publishing and Academic Resources Coalition (SPARC) is 'an alliance of universities, research libraries, and organizations' that seeks to serve 'as a catalyst for action, helping to create systems that expand information dissemination and use in a networked digital environment ... '

## **SPARC:**

## Scholarly Publishing and Academic Resources Coalition (2)

- SPARC has encouraged collaborations through three major initiatives: SPARC Alternatives Program, SPARC Leading Edge Program, and the SPARC Scientific Communities Program
- The SPARC Leading Edge Program 'encourages and supports projects that represent a paradigm shift in technology use, introduce an innovative business model, and/or meet the scholarly and research information needs of an emerging or fast-growing STM [scientific, technical, and medical] field'



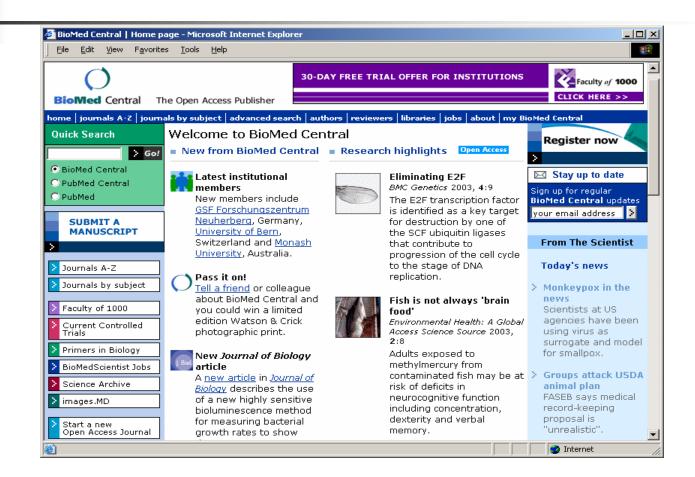
http://www.stoa.org/



- The Stoa Consortium is a Web-based publishing forum for the Humanities. Its goals are:
  - to foster a new style of refereed scholarly publications in the Humanities not only of interest to specialists but also to public audience
  - to develop and refine new models for scholarly collaboration via the Internet
  - to assist in the long-term interoperability and archival availability of electronic materials
  - to support resolutions to copyright and other issues as they arise in the course of scholarly electronic publication



- The vision of *The Stoa* is to establish a 'new paradigm of peer-reviewed publishing in the Humanities, buoyed by new models of scholarly collaboration, and using recognized standards ... '
- The Stoa can 'best be understood as a source of support and coordination for electronic scholarship in the humanities, with a special focus on the ancient world and the classical tradition;' more technically, it can be described as a 'refereed collaborative publication of structured data for wide audiences'



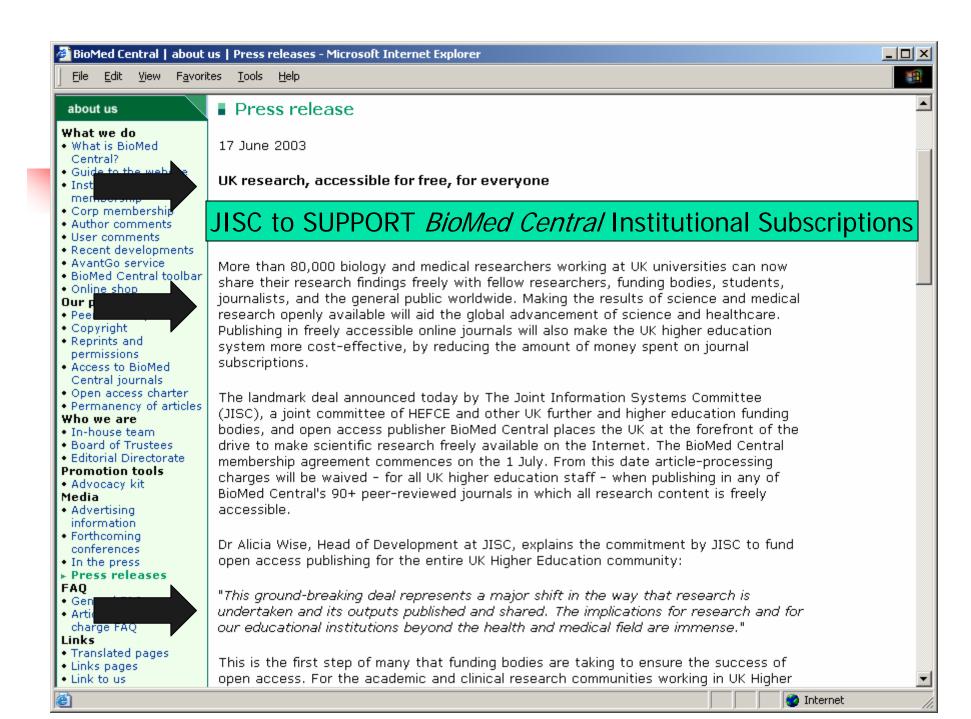
http://www.biomedcentral.com



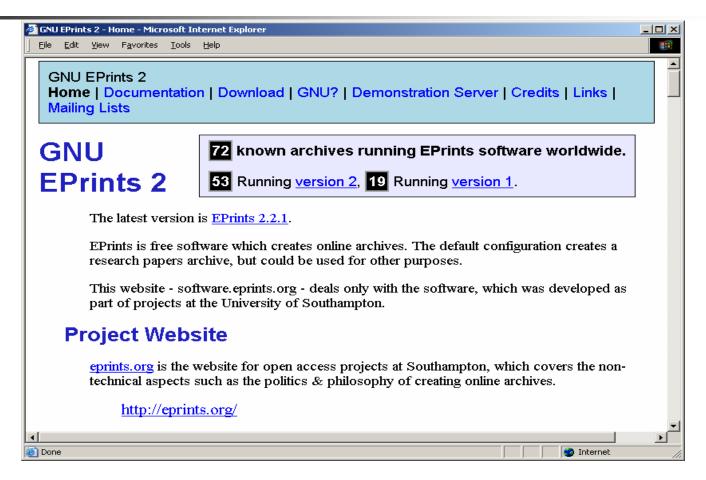
- Launched in May 2000, BioMed Central is an 'independent publishing house committed to providing immediate free access to peer-reviewed biomedical research'
- BioMed Central publishes electronic and print/electronic journals on a wide variety of subjects, with coverage ranging from general topics, cardiovascular disorders, endocrine disorders, and genetics, to immunology microbiology pharmacology, and women's health



- All submitted manuscripts to a BioMed Central journal are fully and expeditiously peer-reviewed.
- For all BMC medical journals, referees are requested to provide signed reviews
- Pre-publication versions of each paper and associated correspondence (i.e., submitted version(s), reviewer reports, author responses) are made available with the published article for this journal series.
- Research articles published by BioMed Central are archived in PubMed Central (PMC)







http://software.eprints.org



## **GNU EPrints Software (1)**

- GNU EPrints 2 is a free software package developed at the Electronic and Computer Science Department of the University of Southampton, United Kingdom, that enables the creation of an electronic 'archive' or 'repository' of departmental or institutional publications
- GNU EPrints 2 was developed by Christopher Gutteridge, System Administrator with the Systems Group, Department of Electronics and Computer Science, University of Southampton with the assistance of Mike Jewell



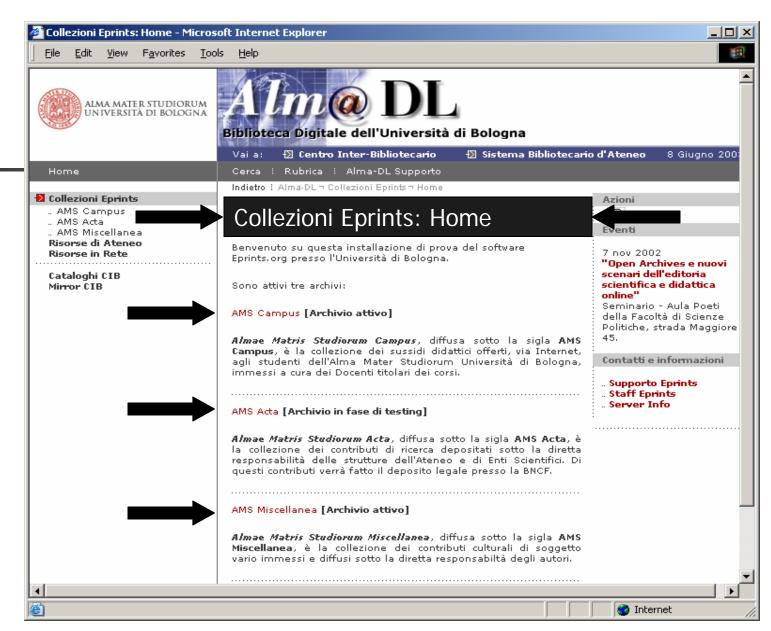
## **GNU EPrints Software (2)**

- GNU EPrints 2 offers a variety of user-friendly features and functionalities that facilitate the establishment of a digital publications repository, most notably:
  - a script that automates much of the installation process
  - storage of individual electronic publications in one or more than one document format
  - organization of access to contents in a subject hierarchy that facilitates browsing and searching
  - document submission using a simple Web-based interface

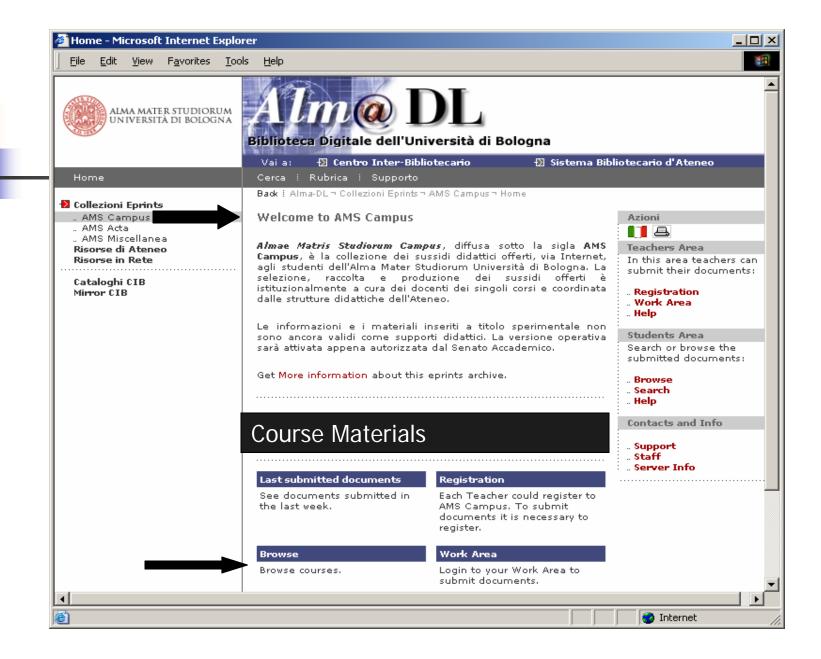


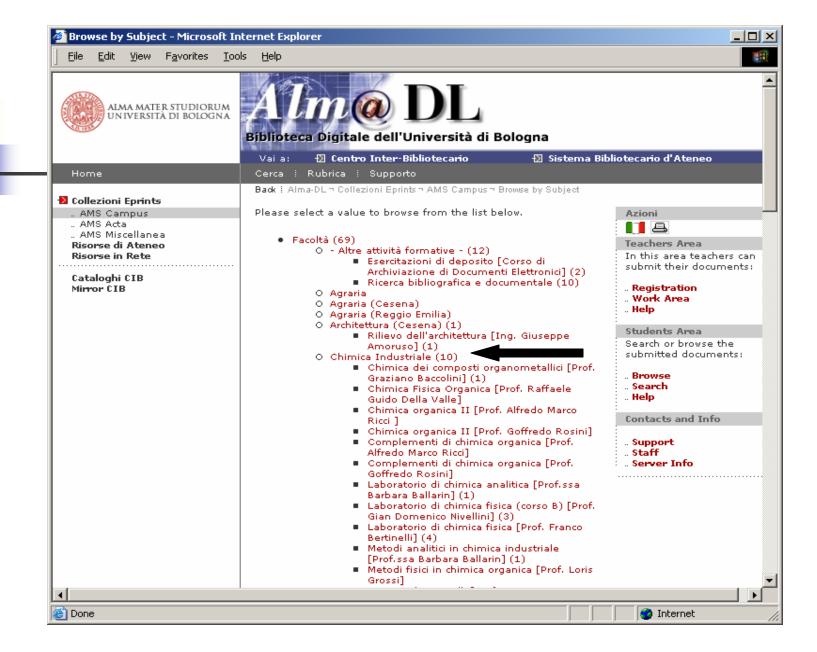
## **GNU EPrints Software (3)**

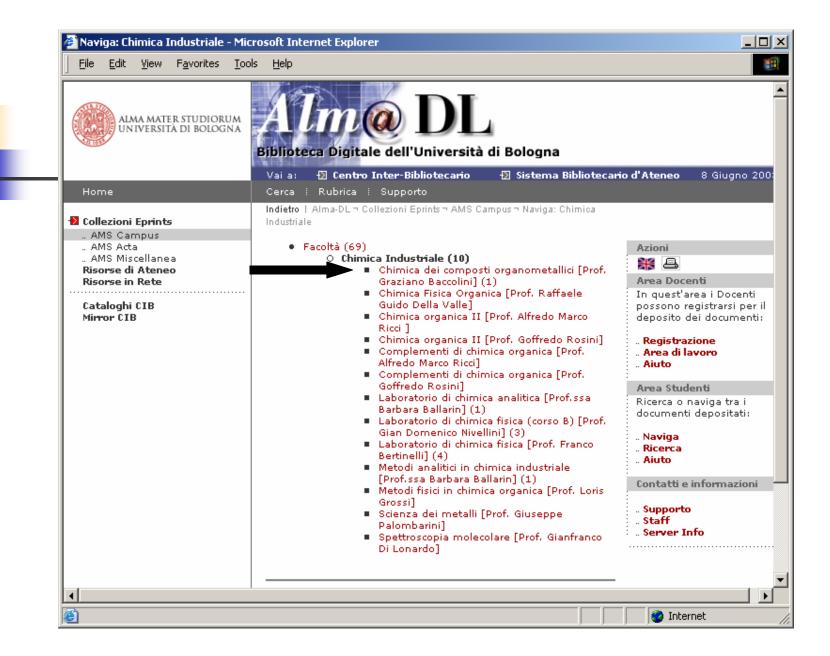
- CogPrints
- Glasgow ePrints Service
- E-Print Repository (Australian National University)
- Collezioni Eprints (University of Bologna, Italy)

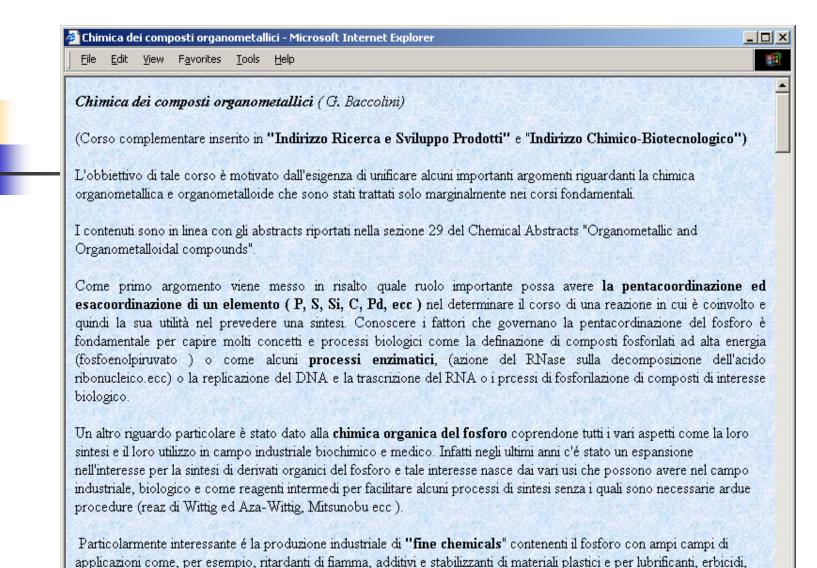


http://almadl.cib.unibo.it/eprints/









regolatori per la crescita delle piante, antibiotici, antivirali, antitumorali ecc. Un notevole ulteriore campo di applicazione industriale è la produzione di forfine e diforfine chirali cipliche da usare nella produzione di catalizzatori per la ciptesi

🚧 Internet

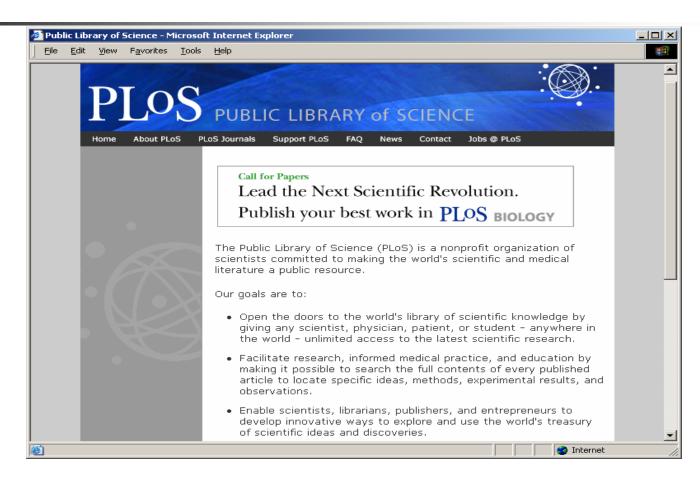
Done



## **GNU EPrints Software (4)**

- CogPrints
- Glasgow ePrints Service
- E-Print Repository (Australian National University)
- Collezioni Eprints (University of Bologna, Italy)
- E-LIS (Italy)





http://www.plos.org/

## Public Library of Science (1)

- Founded in October 2000, the *Public Library* of Science 'is a non-profit organization of scientists committed to making the world's scientific and medical literature a public resource'
- In general, Public Library of Science seeks 'to establish online public libraries of science that will archive and freely distribute the complete contents of every published scientific article'



## Public Library of Science (2)

#### And:

- 'greatly expand access to scientific knowledge by giving any scientist, physician, student - or anyone with access to the Internet, anywhere in the world - unlimited access to the latest scientific research
- facilitate research, informed medical practice and education by making it possible to freely search the full text of every published article to locate specific ideas, methods, experimental results and observations; and
- enable scientists, librarians, publishers and entrepreneurs to develop innovative new ways to access and use the information in this immensely rich but highly fragmented resource'



http://www.surf.nl/en/actueel/index2.php?oid=7

#### **DARE:**



The Digital Academic Repositories (DARE) project (www.surf.nl/download/DARE-summary.pdf) is a new collaborative initiative among select Dutch universities that seeks to develop the necessary infrastructure and platforms required for the longterm storage, distribution, and access to the individual and collective scholarly and educational digital assets of participating institutions

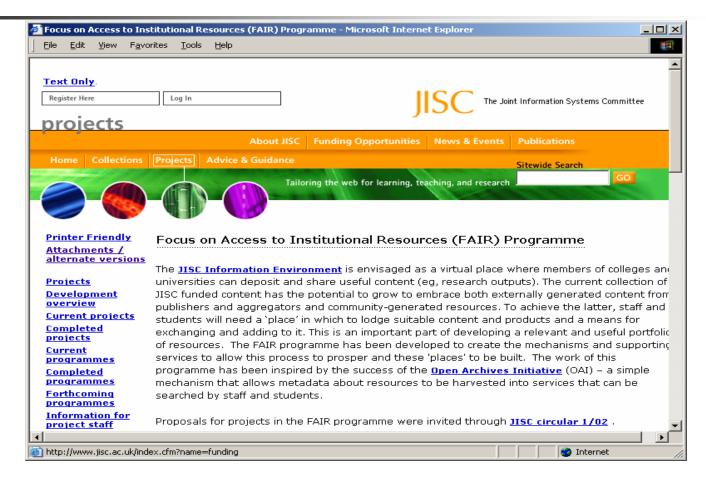
#### **DARE:**



## Digital Academic Repositories (2)

- At the core of *Digital Academic Repositories* is a distributed network of institutional repositories, 'information systems for academic output such as working papers/pre-prints, theses, research reports, <u>data sets</u>, conference contributions, <u>multimedia</u> <u>presentations</u>, etc'
- Within this framework, individual participating institutions will oversee and manage their respective digital activities and assets





http://www.jisc.ac.uk/index.cfm?name=programme\_fair

#### **FAIR:**



- The Joint Information Systems Committee (JISC) (www.jisc.ac.uk) is the strategic advisory committee that works on behalf of funding bodies for further and higher education in England, Scotland, Wales and Northern Ireland, and promotes the innovative application and use of information systems and information technology
- In late summer 2002, JISC awarded funding to 14 projects under its Focus on Access to Institutional Resources programme (FAIR)

## **FAIR:**



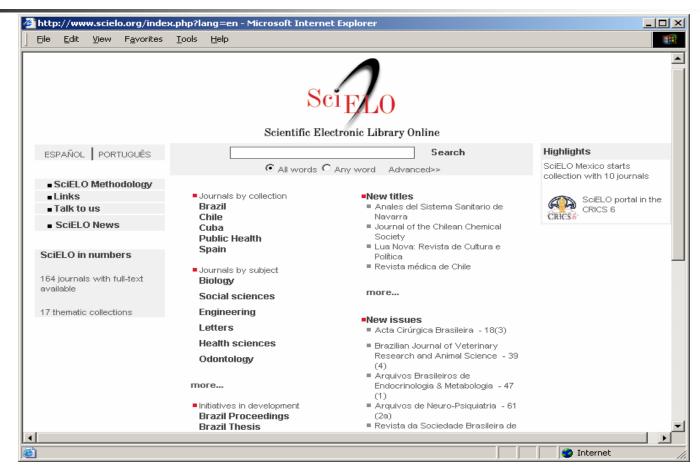
- The specific objectives of *FAIR* are to:
  - explore the OAI protocol (OAI-PMH) as a mechanism for disclosure and sharing a range of resource types (e.g., images, video clips, learning objects, finding aids)
  - explore other mechanisms for disclosure
  - explore the challenges associated with disclosure and sharing, including Intellectual Property Rights
  - test the delivery of disclosed information through established JISC services
  - inform the work for developing the Information Environment

### **FAIR:**



- DAEDALUS: Data providers for Academic Econtent and Disclosure of Assets for Learning, Understanding, and Scholarship (University of Glasgow Library)
- <u>ePrints UK</u> (Resource Discovery Network)
- TARDis: Targeting Academic Research for <u>Dissemination and Disclosure</u> (University of Southampton)

### EXCITATION #18



http://www.scielo.br/

### SciELO:



 SciELO (Scientific Electronic Library Online) is the result of a partnership among the State of São Paulo Science Foundation (FAPESP), Latin America and Caribbean Center on Health Sciences Information (BIREME), and national and international institutions involved with scientific communication



## Scientific Electronic Library Online (2)

- As of June 2003, the SciELO gateway provided access to more than 10,000 articles from more than 100 academic journals
- Subject coverage includes:

- Agricultural Sciences
- Applied Social Sciences
- Biological Sciences
- Engineering
- Exact and Earth Sciences
- Health Sciences
- Human Sciences
- Linguistics, Letters, and Arts



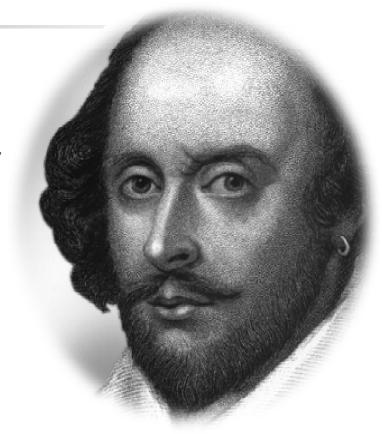
"The opportunities ... are not in our stars, but within ourselves."

Cassius

Julius Caesar

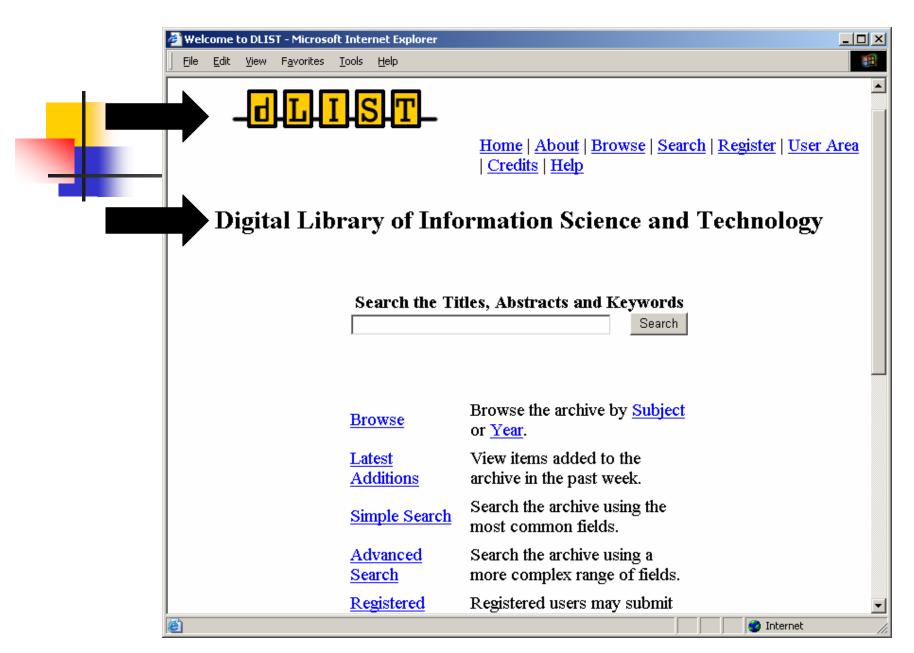
Act I, Scene 2

With apologies to
William Shakespeare
(1564-1616)





### BY EXAMPLE



http://dlist.sir.arizona.edu/



# DLIST: Digital Library of Information Science and Technology

- The objective of *DLIST* is to serve as a repository of electronic resources in the domains of Library and Information Science (LIS) and Information Technology (IT)
- DLIST contains materials in a variety of formats



# DLIST: Digital Library of Information Science and Technology

- These formats include but are not be limited to:
  - published and unpublished papers
  - data sets
  - instructional and help materials



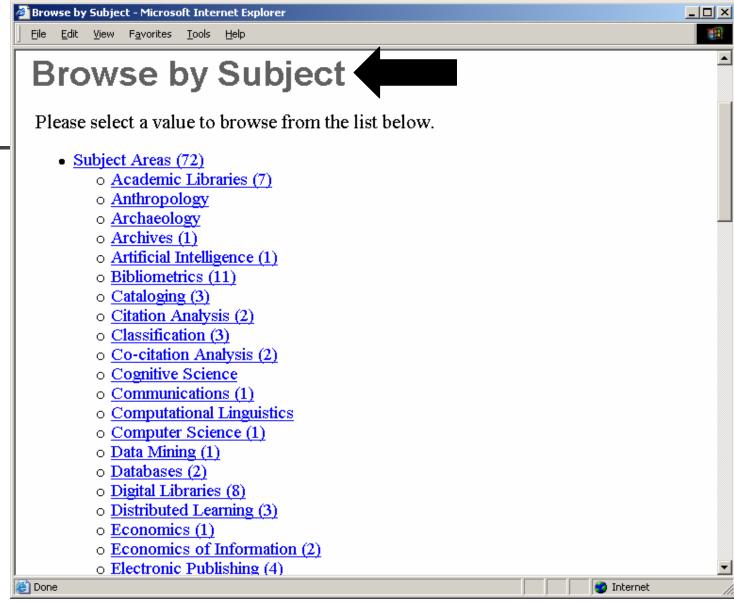
pathfinders

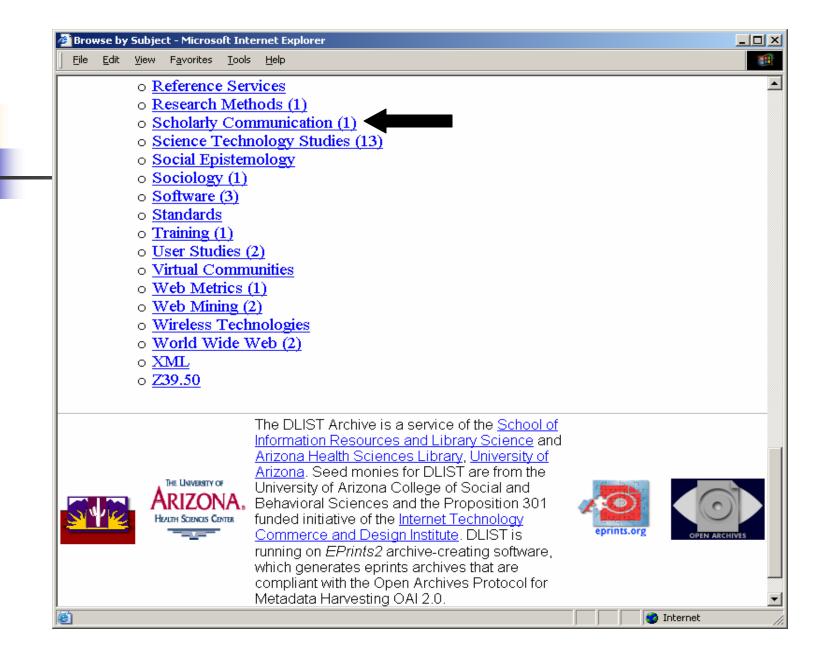


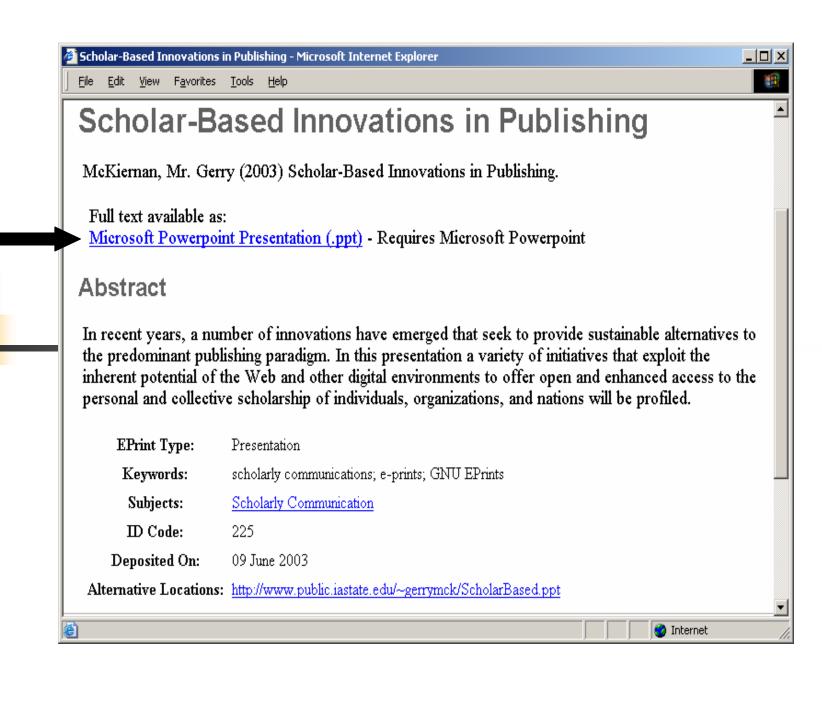
- reports
- bibliographies













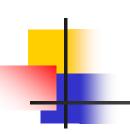
Gerry McKiernan

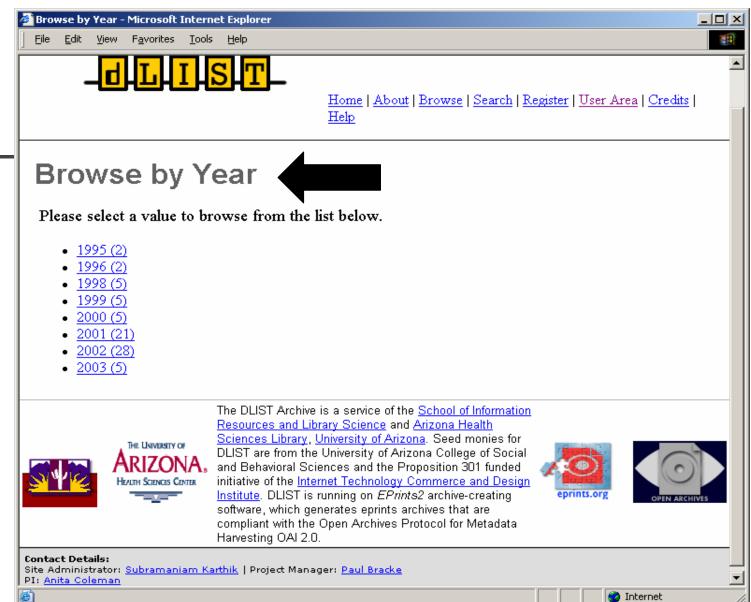
Science and Technology Librarian and Bibliographer
Iowa State University Library

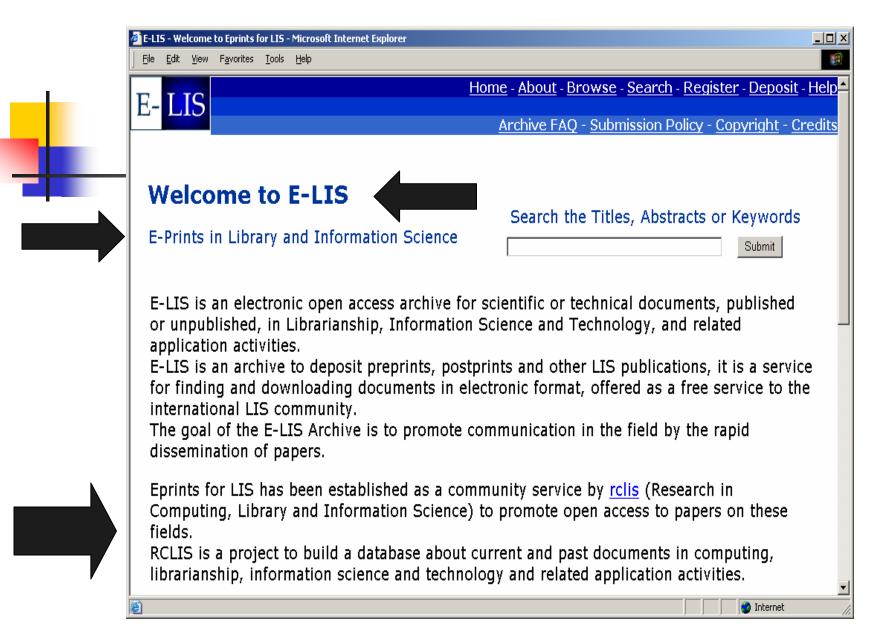
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gerrymck@iastate.edu

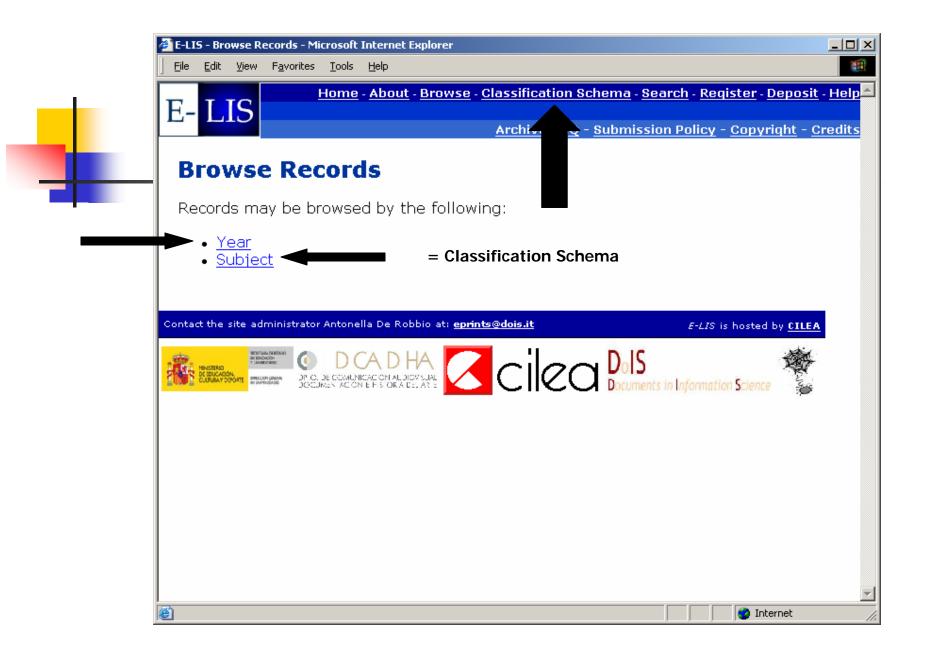
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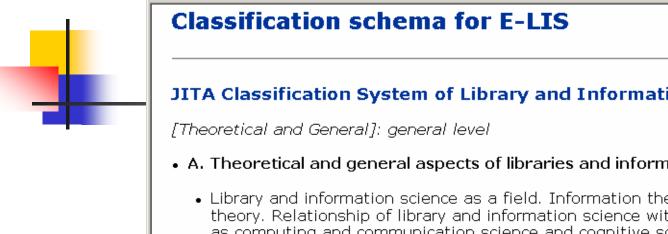


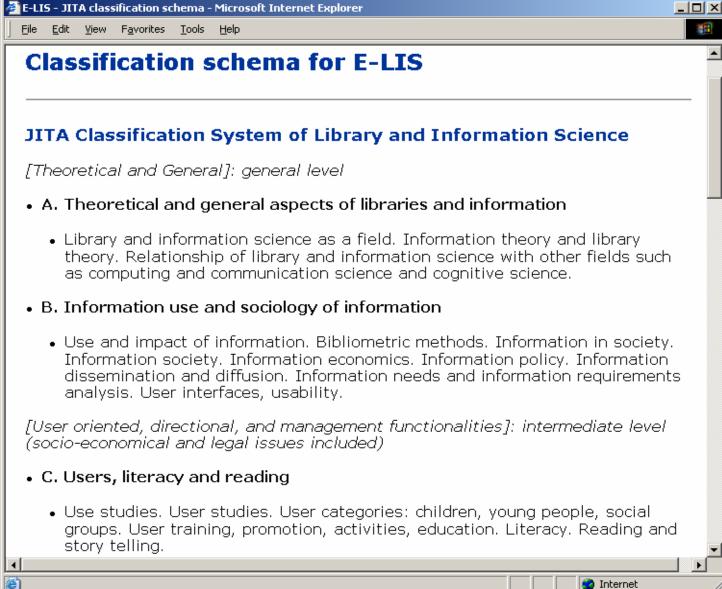




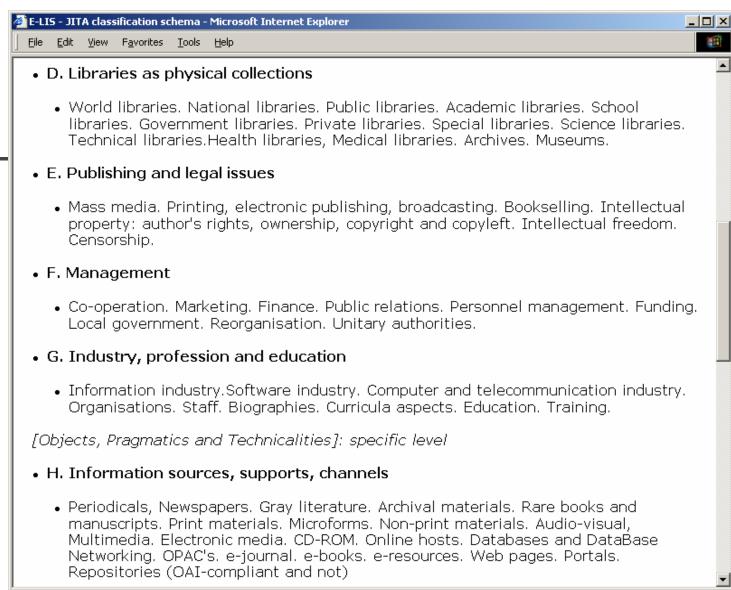
http://eprints.rclis.org



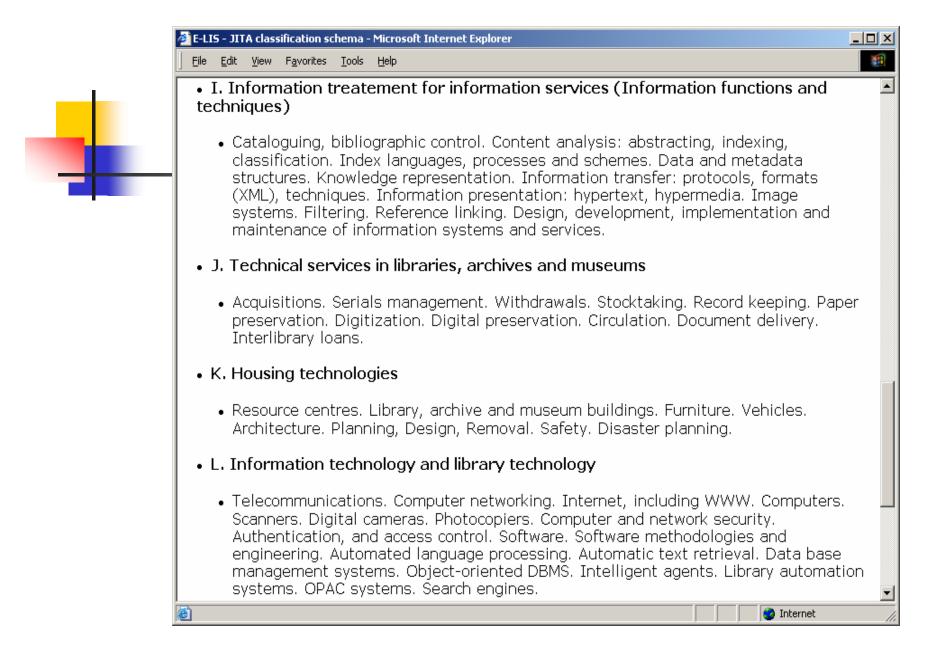


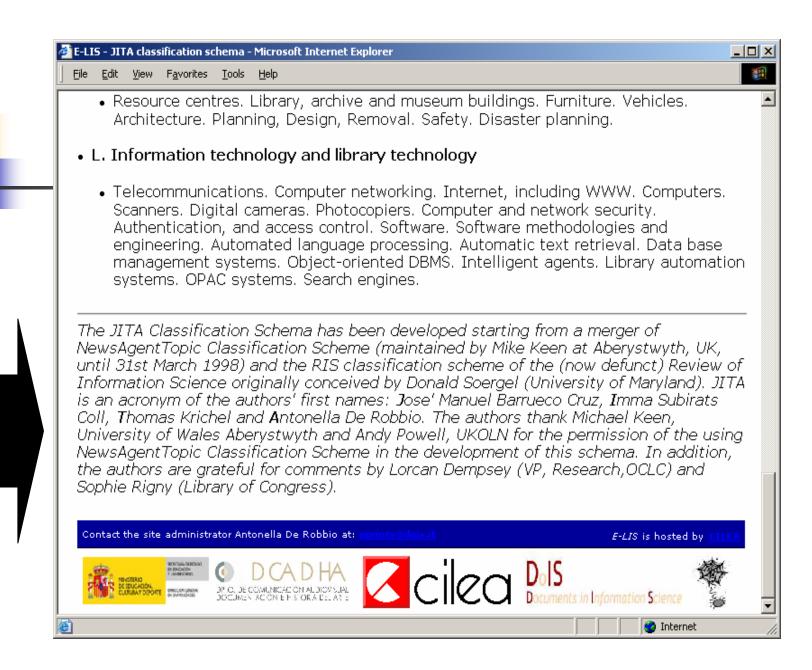






Internet













# E is for Everything: The Extra-Ordinary, Evolutionary [e-] Journal

Gerry McKiernan

Iowa State University Library Ames, Iowa

gerrymck@iastate.edu

WiLSWorld 2001

The Future's So Bright ...
July 27, 2001

University of Wisconsin, Madison

"We scientists *can* exercise control of our journals. We can transform them from commercial commodities back to instruments of service to education and research. When we are in control, we fulfill our responsibility to ourselves, to society, to our institutions, and to our colleagues throughout the world."

Michael L. Rosenzweig Editor in Chief, Evolutionary Ecology Research

Michael L. Rosenzweig, *Declaring Independence: A Guide to Creating Community-Controlled Science Journals* (Chapel Hill, NC, Washington, DC: Scholarly Publishing and Academic Resources Coalition, Triangle Research Libraries Network, 2001), 1. Also available at: <a href="http://www.arl.org/sparc/DI/Declaring\_Independence.pdf">http://www.arl.org/sparc/DI/Declaring\_Independence.pdf</a> (accessed 7 June 2002).

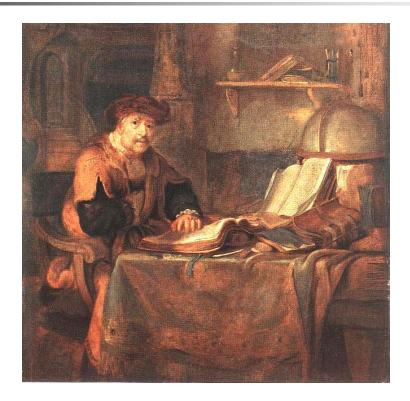


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#### THANK YOU FOR YOUR ATTENTION!



Scholar with His Books (1671)

Gerbrand van den Eechhout (1621-1674)

http://www.kfki.hu/~arthp/html/e/eeckhout/



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Gerry McKiernan



### REVISED FINAL

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3:00 PM