D) space

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Institutional Repositories MITI ibraries



- **■** Institution-based
- **■** Scholarly material in digital formats
- **#** Cumulative and perpetual
- **♯** Open and interoperable



The DSpace Repository



- **■** Institutional Repository for MIT faculty's digital research materials
- ★ MIT Libraries Hewlett Packard Research
 Labs collaborative development project
- # Open Source system
- **≠** Federated system
- **♯** Preservation archive



DSpace



Captures

- Digital research material in various formats
- Directly from creators (e.g. faculty)

Describes

■ Descriptive, technical, rights metadata

Distributes

■ Via WWW, with necessary access control

Preserves



DSpace Offerings



- Large-scale, stable, managed long-term storage
- **■** Support for range of digital formats
- **♯** Easy-to-use submission process
- **≠** Persistent network identifiers
- **#** Access control
- **♯** Search and delivery interface
- **■** Digital preservation services



Possible Content



- **≠** Preprints, articles
- **#** Technical Reports
- **#** Working Papers
- **#** Conference Papers
- # E-theses
- **#** Datasets
 - statistical, geospatial, matlab, etc.

- **#** Images
 - visual, scientific, etc.
- **#** Audio files
- **♯** Video files
- **♯** Learning Objects
- **■** Reformatted digital library collections



Challenges



- **♯** Faculty Acceptance
 - Valuing and trusting an institutional archive
 - Myriad disciplines with different cultures
 - Copyright/IP policies
- **#** Sustainability
 - institutional, financial
- **♯** Digital Preservation



Faculty Acceptance



- **#** Variety of content
 - Preprints and publications
 - Digital research material
 - Educational material
- **■** Respect for discipline differences
 - Access control, review process, etc.
- **#** Institutional support
 - Broad advocacy
 - Mission relevance



Business Plan



- **♯** One year, Mellon funded project
- **★** Developed by business consultants, library
 Transition Team
- **■** Built cost models for running DSpace
- **■** Developed revenue options
 - Core services (free)
 - Premium services (for-fee)



Digital Preservation



Philosopy

- Lots of digital material is already lost
- Most digital material is *at risk*
- Better to have it, do bit preservation than to lose it completely
- Need to capture as much information as possible to support functional preservation
- Cost/benefit tradeoffs



Digital Preservation



- **#** MIT's commitment levels
 - Known/supported
 - TIFF, SGML/XML, AIFF, PDF
 - Known/unsupported
 - Microsoft Word, PowerPoint (common)
 - Lotus 1-2-3, Visicalc, WordPerfect (less common)
 - Unknown/unsupported
 - One-of-a-kind software program



Digital Preservation



- **■** Supported = migration and/or emulation
 - Migration for texts, images, audio, etc.
 - Emulation for software, multimedia?
- **#** Unsupported
 - Bit preservation at minimum
 - Batch migration where possible
 - Commercial conversion services
- **♯** Digital Format Registry



Information Model



- **#** Communities
- **#** Collections (in communities)
 - Distinct groupings of like items
- # Items (in collections)
 - Logical content objects
 - Receive persistent identifier
- **♯** Bitstreams (in items)
 - Individual files
 - Receive preservation treatment



Information Model



Versioning

- Item "versions" can be
 - All instances of a work in different formats
 - E.g. the XML, PDF, and PostScript versions
 - All editions of a work over time
 - Official changes (e.g. addenda or new release)
 - Periodic snapshots (e.g. web sites)
- Metadata lists all available versions of items



Communities

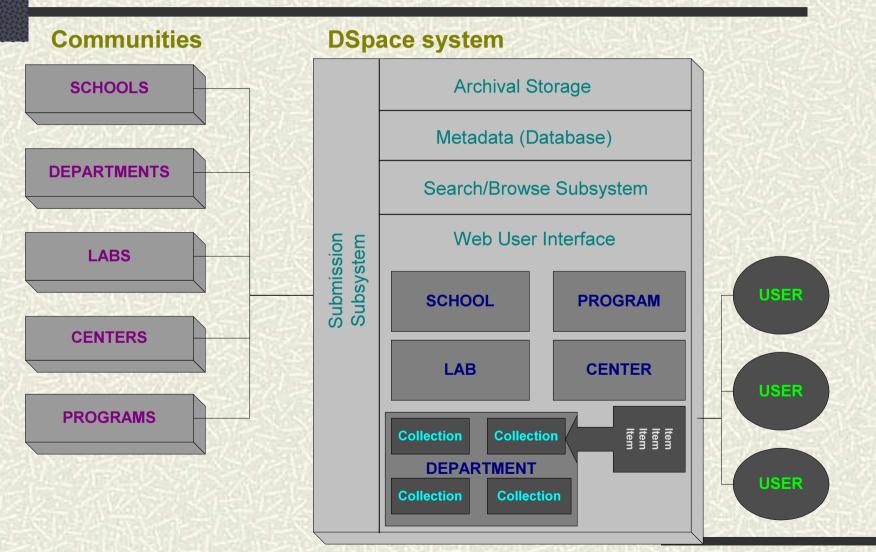


- Departments, Labs, Research Centers, Programs, Schools, etc.
- **♯** Localized policy decisions
 - Who can contribute, access material
 - Submission workflow
 - Submitters, approvers, reviewers, editors
 - Collections definition, management
- **#** Communities supply metadata



Communities





MIT Early Adopters



- **♯** Sloan School of Management
- **■** Dept. of Ocean Engineering
- **♯** Center for Technology, Policy and Industrial Development (CTPID)
- **♯** Lab for Information and Decision Systems (LIDS)
- **■** MIT Press out-of-print books



Dspace Architecture



Federation Services	Web UI	OAI Metadata Providing Service	Web Service Interface
DSpace Public API			
Search (Lucene Wrapper)	History Manager	E-person/ Group Manager	Business Logic Layer
Browse	Workflow	Ingest	Administration Toolkit
Handle Manager	Content Management API	Authorisation	
Storage API			
RDBMS Wrapper		Bitstream Storage Manager	
JDBC PostgreSQL		Filing System	

Standards-based



- **■** Modular architecture, well-defined APIs
- # 100% open source
 - Programmed in java
 - RDBMS and SQL for metadata
- **#** CNRI "handles" for persistent identifiers
- **X.509** certificate-based access control
- **#** OpenURL linking
- **#** OAI-PMH for exposing metadata



Technology Stack



- **#** Java 1.3, JSP 1.2, Servlet 2.3
- **♯** PostgreSQL 7, JDBC (rdbms)
- **#** CNRI Handle System 5 (persistent ids)
- **■** Lucene 1.2 (index/search)
- **♯** Jena (RDF History system)
- # JUnit (testing), Log4j (logging)
- # HP/UX, Linux, Solaris, etc.



OAIS compliant

- **#** METS AIPs in bitstore
- **♯** Designated Community are scholars, researchers
- **♯** Knowledge Base
 - Interdisciplinary content
 - Digital archaeology



Metadata



- **#** Qualified Dublin Core
 - based on Library Application Profile
- **#** Crosswalk from MARC
 - based on Library of Congress crosswalk
- **#** Minimally effective preservation metadata
- **■** METS-encoded OAIS AIP in bitstore
- **■** Support for collection/community-specific schemas in development (SIMILE)



System Comparison



- # Extends discipline-based preprint archive model
 - All file formats accepted
 - Preservation commitment
 - Community paradigm
- **♯** Differs from Digital Library model
 - e.g. FEDORA, Greenstone, etc.
 - Content is faculty-produced (not library)
 - Responsibility distributed
 - Selection, policies, submission, cataloging, etc.



DSpace Federation



- **♯** Target audience
 - research libraries, government agencies, cultural heritage institutions (museums, archives)
 - Inside/outside the US
 - Overlapping/complementary research interests



DSpace Federation



- **Goals**
 - Drive DSpace development
 - open source development model
 - Build critical mass of content
 - support useful interoperation
 - Leverage distributed expertise
 - metadata
 - digital preservation



Federation Benefits



- **■** Socio-political
 - Shared direction, leadership, priorities, goals, resources
 - Standards development
 - Putting weight behind "best practices"
 - e.g. W3C, NISO, IETF, ARL/DLF standards
 - Drive commercial developments



Federation Benefits



Technical

- Virtual collections
 - Networked Digital Library of Theses and Dissertations
 - E.g. Electronic theses
 - Subject-based OAI indexes
- New publishing models
 - "Overlay" e-journal located at multiple institutions
- Distributed services
 - Leverage industry services supporting preservation, etc.



Federation Partners



- **#** Cambridge University (UK)
- **#** Columbia University (US)
- **#** Cornell University (US)
- **#** Ohio State University (US)
- **■** University of Rochester (US)
- **#** University of Toronto (Canada)
- **♯** University of Washington (US)



Schedule



- **#** MIT public release
 - October 3, 2002
- **#** Open Source to the world (DSpace 1.0)
 - November 4, 2002
- **■** Begin federation
 - Fall 2002



