

# SHERPA

Dr Paul Ayris

Director of Library Services, UCL  
and Chair of the SHERPA Management Committee

e-mail: [p.ayris@ucl.ac.uk](mailto:p.ayris@ucl.ac.uk)

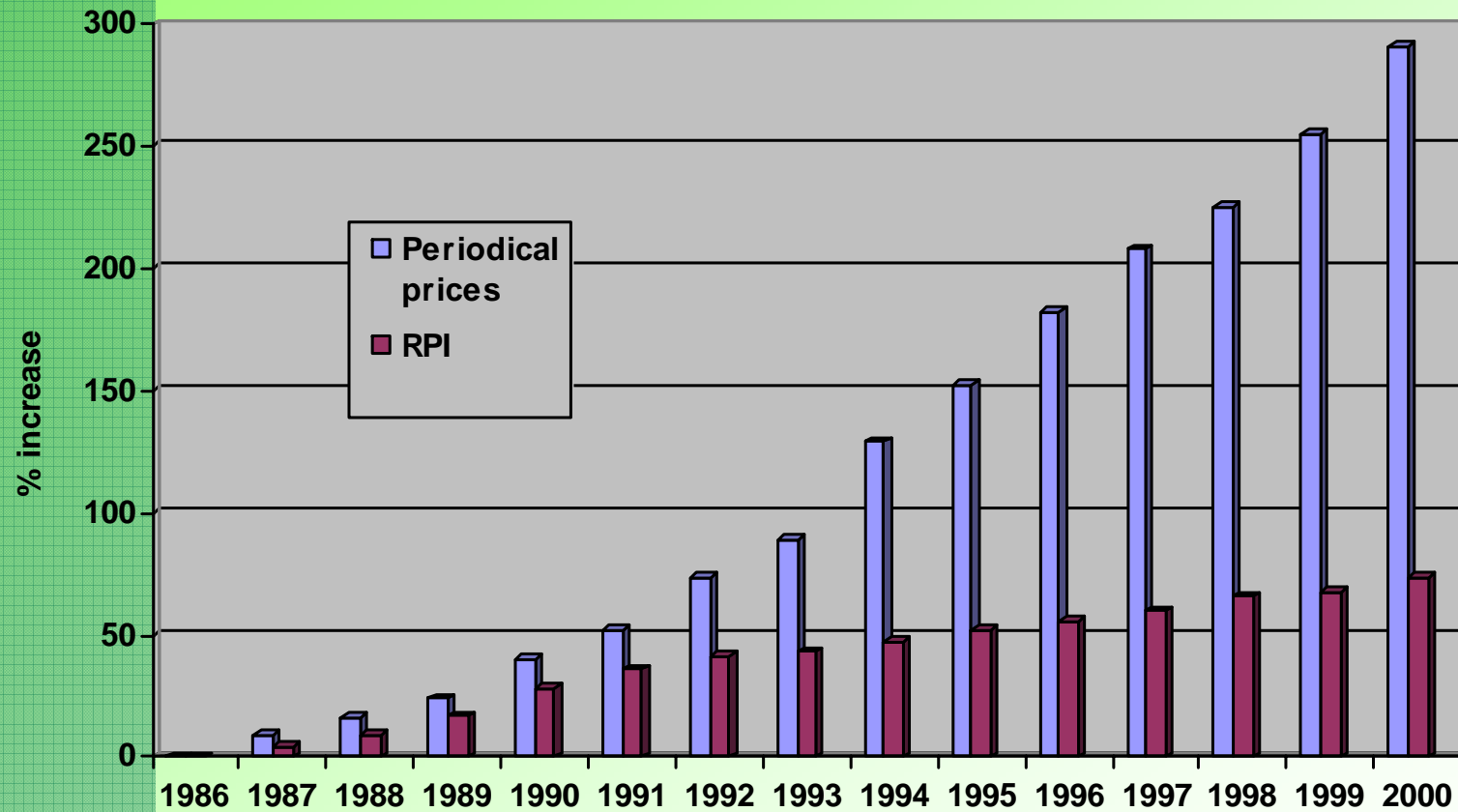
# Contents

- House of Commons Select Committee Report
- SHERPA
- The Future?

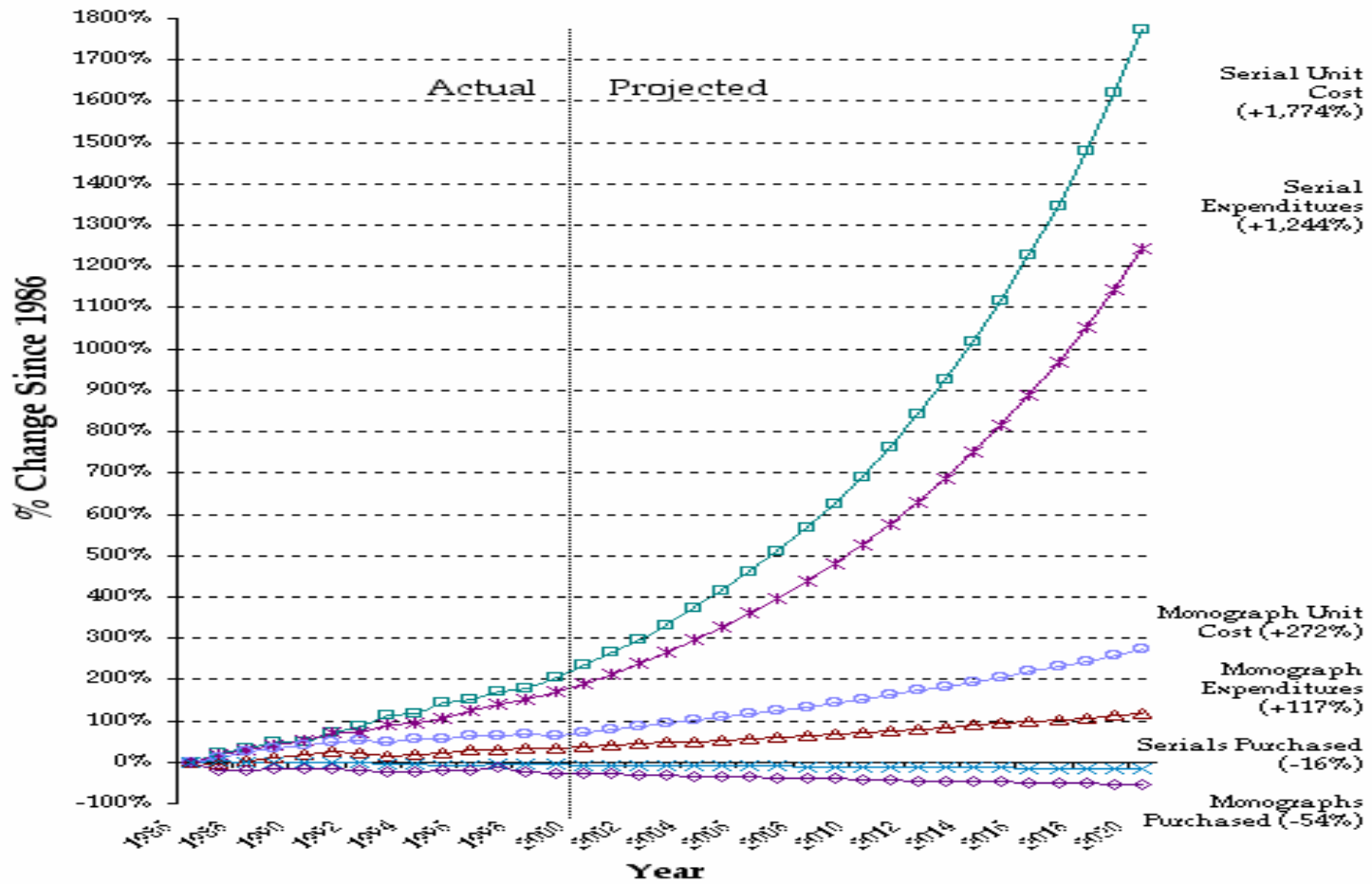
# Free for All?

- A Report from the House of Commons Select Committee on Science and Technology
- Published on 7 July 2004
  - at <http://www.publications.parliament.uk/pa/cm200304/cmselect/cmsctech/399/39904.htm>

## Periodical price increases mapped against the Retail Price Index in the UK



## Monograph and Serial Costs in ARL Libraries, 1986-2020 Scenario 1 Projections



# The Report

- Takes a broadly sympathetic view of library concerns:
- Advocates action by Universities, funders, publishers and Government
- Open Access is a possible, and fruitful, way forward

# Contents

- House of Commons Select Committee Report
- SHERPA

# SHERPA

- A UK repository with 7 Development and 6 Associate Partners:
- Centred on research-intensive universities
- Uses the OAI-PMH protocol as a Data Provider



Staff WTS Version 2 - Citrix ICA Client [SpeedScreen On]  
SHERPA - Microsoft Internet Explorer

File Edit View Favorites Tools Help

Address <http://www.sherpa.ac.uk>

# SHERPA

*...assisting scholarly communication*

[Home](#) | [About](#) | [Partners](#) | [Documents](#) | [Links](#) | [Contacts](#)

## SHERPA

SHERPA aims to investigate issues to do with the future of scholarly communication and publishing. In particular, it is initiating the development of openly accessible institutional digital repositories of research output in a number of research universities. These so-called 'e-print archives' will contain papers by researchers from the participating institutions.

The project will investigate the IPR, quality control and other key management issues associated with making the research literature freely available to the research community. It will also investigate technical questions, including interoperability between repositories and digital preservation of e-prints.

This site also hosts the [Publishers Copyrights Listings \(ROMEO\)](#) service, which lists publisher's copyright transfer agreements.

SHERPA: Securing a Hybrid Environment for Research Preservation and Access.

SHERPA is funded by [JISC](#) and [CURL](#). It is hosted by the [University of Nottingham](#).

[News](#)

[UK Parliamentary Report on Scientific Communications supports institutional repositories](#)

[Wellcome Trust report confirms viability of "publication charge" model for Open Access Journals](#)

[more news...](#)

© University of Nottingham [Contact us](#)

Local intranet

Start | Start | Staff WTS Version 2 - ... 19:13

# SHERPA

- Funded by JISC and CURL
  - at <http://www.sherpa.ac.uk/>
- Perceived as a European exemplar in Open Archiving alongside DARE
  - <http://www.surf.nl/en/themas/index2.php?oid=7>

# SHERPA

- Pre-Prints or Post-Prints of journal articles
- Largely based in Science, Technology and Medicine
- Advocacy to academics obtains content
- Software platforms are E-Prints or D-Space

# Issues

- Costs of maintaining infrastructure
- Advocacy to academics
- Copyright

# Issues

- Costs
- For local hardware and IT are negligible and can be absorbed
- £3,900 per library
- Central costs at Nottingham for staff
- £142,500 per year

# Advocacy

- The hardest activity of all...
- What buttons to press?
- Greater visibility for academics' work
- Work is more likely to be cited more frequently
- Link to RAE activity at institutional level
- Funders mandate deposit in an Open Archive repository....?

# Copyright

- Universities need institution-wide IPR policies
- UCL has Copyright policy for staff and students
  - at <http://www.ucl.ac.uk/Library/scholarly-communication/ipr.shtml>

# Copyright

- Authors need to be encouraged *not* to sign copyright away as a condition of being published
- Licence to publish is a better model
  - see [http://www.ucl.ac.uk/Library/scholarly-communication/Model\\_licence.pdf](http://www.ucl.ac.uk/Library/scholarly-communication/Model_licence.pdf)



# Copyright

- Commercial publishers will allow deposit of a pre-print or a post-print in an Open Archive repository
- Guide to deposit conditions can be found on the SHERPA Romeo site
  - at <http://www.sherpa.ac.uk/romeo.php>

Staff WTS Version 2 - Citrix ICA Client [SpeedScreen On]  
Publisher copyright policies & self-archiving - SHERPA - Microsoft Internet Explorer

File Edit View Favorites Tools Help

Back Forward Stop Home Search Favorites Media Local intranet

Address http://www.sherpa.ac.uk/romeo.php Go

# SHERPA

...assisting scholarly communication  
[Home](#) | [About](#) | [Partners](#) | [Documents](#) | [Links](#) | [Contacts](#)

## Publisher copyright policies & self-archiving

Use this site to find a summary of permissions that are normally given as part of each publisher's copyright transfer agreement.

### Search

Enter one or more keywords from the **publisher's** name:

Find:  Any or  All keywords or  Exact phrase only

Or [view all publishers](#)

This information is based on the publishers' listings produced by the [ROMEEO project](#).

It is updated by community contributions - please submit any updates using the link provided on the search results pages.  
If a publisher is not listed here you may wish to [recommend that we include it](#).

Please note that exact usage of the terms pre-print and post-print can vary. See the [definitions used by these listings](#).  
[Statistics](#) are available for the publishers on this list.

© University of Nottingham [Contact us](#)

Done Local intranet

Start Eudora - [Bill Hubb...] Publisher copyri... Microsoft PowerP... SHERPA continuat...

Start Staff WTS Version 2 - ... 06:39

Staff WTS Version 2 - Citrix ICA Client [SpeedScreen On]  
 Publisher copyright policies & self-archiving - SHERPA - Microsoft Internet Explorer

Address <http://www.sherpa.ac.uk/romeo.php>

**SHERPA** *...assisting scholarly communication*  
[Home](#) | [About](#) | [Partners](#) | [Documents](#) | [Links](#) | [Contacts](#)

## Publisher copyright policies & self-archiving

Found 2 results for **wiley**:

- [John Wiley & Sons, Inc.](#)
- [Wiley-VCH Verlag Berlin](#)

These summaries are for the publishers *default* policies and changes or exceptions can often be negotiated by authors.  
*All information is correct to the best of our knowledge but should not be relied upon for legal advice.*

<b>Publisher:</b>	<a href="#">John Wiley &amp; Sons, Inc.</a>
<b>Pre-print:</b>	✓ author <b>can</b> archive pre-print (ie pre-refereeing)
<b>Post-print:</b>	✓ author <b>can</b> archive post-print (ie final draft post-refereeing)
<b>Conditions:</b>	<ul style="list-style-type: none"> <li>On personal web site only</li> <li>Published source must be acknowledged with set phrase</li> <li>not-for-profit</li> <li>Publisher's version/PDF cannot be used</li> </ul>
<b>Copyright:</b>	<a href="#">view policy</a> (pdf)
<b>ROME0:</b>	This is a <b>ROME0 green</b> publisher

Done Local intranet

Start Eudora - [Bill Hubb... Publisher copyri... Microsoft PowerP... SHERPA continuat...

Start Staff WTS Version 2 - ... 06:41

# Contents

- House of Commons Select Committee Report
- SHERPA
- The Future?

# The Future

- House of Commons Report sees a nation-wide web of Open Archive repositories
- Funded by Government, the Research Councils, other funders, Universities?

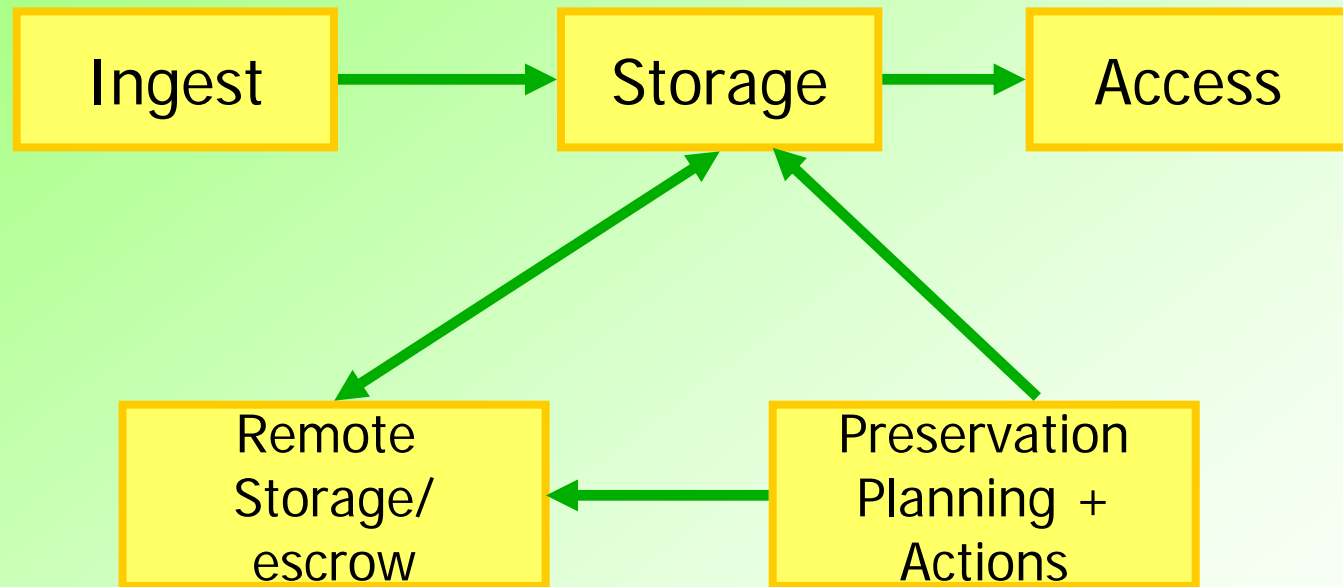
# The Future

- **SHERPA Road Map**
  - Coordinating body to represent repository users
  - Offer a forum for collaborative work
  - Guide development of repositories in UK HE
  - Influence the development of repository software
  - Influence the development of service provision for search and integration services
  - Investigate and advise on the needs for preservation of eprint material
  - Investigate and advise on Copyright & IPR issues and liaison with publishers
  - "Public face" of UK-HE repository movement

# Work has begun

- SHERPA DP funded by JISC 4/04:
- To develop a persistent preservation environment based on the OAIS reference model, including a set of protocols and tools
- To explore METS for packaging metadata and content
- To explore Open Source tools and software in this context
- To create a Digital Preservation User Guide

# SHERPA DP Model





# Conclusion

- House of Commons Select Committee has set the context
- What will the Government response be?
- What will further funding rounds be devoted to?
- Can SHERPA achieve its vision?
- We must wait and see.....

# Questions?

- Over to you!!