

Annotation as Process: A Vital Information Seeking Activity in Historical Geographic Research

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This paper describes the role annotations played in evolving and growing the value of a research database in the framework of an historical geographer's information seeking process. The database was designed as a "note-taking" tool for gathering historical evidence from primary source documents. The individual facts collected at first provided little utility to the researcher, indicative of Buckland's (1991) information-as-thing. As the process of collecting data began to amass a large body of material the geographer's information needs grew as new connections were made between the accumulating annotations.

Introduction

Annotations played a key role in the information-seeking process of an historical geographer, as observed during a nearly two-year collaboration. From the standpoint of an historical geographer's information requirements few studies appear to address this topic specifically -- how do they become informed? Several resources touch upon issues related to historians' information behavior; describing the characteristics of source material (Brundage, 2002), research motives and methods (Case, 1991), historians' use of computers (Lewis & Lloyd-Jones, 1996), and the relationship between geography and history (Baker, 2003; Ogborn, 1999).

For a glimpse into the specific interests of historical geographers Sauer (1940) aptly

summarized the nature of this discipline in his address to the Association of American Geographers. Baker (2003) offers alternative understandings depending on the perspective taken and circumstance. Other papers impart the challenges faced when researching topics in historical geography, specifically when the findings being collected are intended for presentation in a digital format within a geographic information system (GIS) (Boonstra, Breure, & Doorn, 2004; Gregory, Kemp, & Mostern, 2003), as is the case in this study.

There have been numerous books and articles written describing the characteristics of information, information behavior, information seeking, and information-use (Buckland, 1991; Case, 2002, Jarvelin & Wilson, 2003; Marchionini, 1995; Wilson, 1999). One aspect of this research deals with the issue of information seeking in context (Dervin, 1997; Solomon, 2002) defined as the observation of an actual information-need and the processes undertaken by the 'seeker' to satisfy their need. In the context of this study, annotations were a crucial aid in the process of information discovery for the historical geographer.

In this paper I first relate how the data in an historical database, used for gathering historical evidence, corresponds to the range of dimensions of annotation outlined by Marshall (1998). These annotations played a key role in the process of becoming informed, which corresponds nicely with Buckland's (1991) analysis of information-as-process (or information-as-evidence) and MacMullen's (2005) paper correlating annotation-as-thing. I follow this with a synopsis of the ongoing collaboration between Rebecca Dobbs and me, an historical geographer and information scientist respectively, as we continued to incorporate changing information needs into a database to maintain her research objectives.

Dimensions of Annotation

Marshall (1998) contends "...annotation is a key way in which hypertexts grow and increase in value." It also appears annotations play an essential role in improving and adding value to an evolving research database used for collecting historical evidence. Annotations can take on many forms and serve various purposes. The following

provides a summary of Marshall's dimensions accompanied by a description of analogous characteristics found within an historical database.

Dimension 1: Formal vs. informal annotations

Metadata is given as an example at the extreme end of formal annotation, a structured method that employs descriptors adhering to strict standards in terminology for describing a document or data. The objective of formal (or structured) notation is to insure interoperability and optimize query performance. On the opposite end would be informal annotations, such as notes made to oneself in the margins of a text or document during the course of reading.

In an historical database, fields with structured controls are representative of Marshall's [formal](#) dimension. For example fields programmatically restricted to a particular format of data, such as a survey's north-south/east-west directional coordinates and related numerical boundary lengths, or limited to a list of predefined choices, the equivalent of keywords one might underline in a text. On the other end of this spectrum would be [informal](#) notes found in a comment or note field, designed for capturing unstructured free text to satisfy the readers need or desire to add personal or explanatory comments.

Dimension 2: Explicit vs. tacit annotations

On one end of this dimension annotations may be easy-to-read, intended for others to understand and interpret. On the opposite end they may solely be for personal use, such as notes written in a cryptic style decipherable only by the author. The former represents an explicit annotation, structured for sharing; the latter would be tacit, useful only to the note taker.

In an historical database, [explicit](#) fields would be those designated for capturing categorized content such as a type of date, a person's name, a particular event, or a name and class type of a feature. These fields typically share qualities with dimension

one, on the metadata end of the scale. [Tacit](#) notes are more often found in comment or note fields, frequently used as the catchall for the reader's reaction to a document's content and intended for personal clarification.

Dimension 3: Annotation as reading vs. annotation as writing

Annotations may simply be a fleeting act during the process of reading, such as unconsciously highlighting or doodling as one progresses through the text, to be forgotten and lost once a passage has been examined. Or they could be construed as a form of writing that purposely adds value to an existing text or document.

In an historical database a variety of field formats may take on the dimension of annotation [as writing](#). For instance, unstructured note and memo fields may contain translations of archaic prose, point to related sources of interest, or include author observations. Controlled fields can be manipulated (say by concatenation) to organize and present previously disjointed concepts more clearly.

The opposite dimension, annotation [as reading](#), appears less conducive in the context of reacting to primary source documents when employing a database for note taking - spontaneous interaction is unwieldy, requiring the reader to disengage from the act of reading if the urge to doodle strikes them. Although a few examples might be interpreted as electronic doodling, particularly one employing an emoticon, in most cases the author confirmed these were conscious acts of reading, intended to remind her of documents deemed visually interesting or unique.

Dimension 4: Hyperextensive vs. extensive vs. intensive annotation

Marshall (1998) makes use of Levy's (1997) distinctions between the terms hyperextensive, extensive, and intensive. Hyperextensive annotations could be likened to the links in a web page leading you to successively more fragments in the chain, either related or tangential. Extensive annotations are associations recorded for

analytical use in the comparison of many documents. Intensive annotation would be a meticulous response to a single text.

Extensive annotations appear to be the predominant dimension found in an historical geographer's database because the objective is to correlate evidence found across numerous documents. For example, identifying features common to numerous parcels. Yet concurrently individual records in the database could be construed as intensive, since each focuses on details contained in a particular document. The numerous references to related material, both within and external to the Dobbs' database, may be representative of the hyperextensive dimension. For example, there are frequent pointers to an external historical gazetteer, an often referred to geographical dictionary of place names used for translating past vernacular terms into present day equivalents.

Dimension 5: Transient vs. Permanent annotations

Annotations might simply be an ephemeral interaction with a text or document during the act of reading, only useful at the time of engagement and of little value on a return visit. Yet in some instances what remains may unintentionally be informative to subsequent readers, including the original annotator.

In an historical database note and comment fields often provide a vehicle for capturing a reader's peculiar observations, initially [transient](#) in nature. What at first may appear to be a singular worthless remark, over time could prove valuable both for the annotator and later readers. As notes continue to accumulate in the database, and external resources are consulted, seemingly transient annotations may eventually shift into the realm of the [permanent](#) dimension.

Dimension 6: Published vs. private annotations

One's personal reading material may be heavily annotated with thoughts motivated during the course of reading. These notes are typically not intended for sharing,

although once a colleague is given access to this copy the annotations shift from the private to published dimension. There are also annotations intentionally written for publication, such as scholarly works aimed at deciphering the meaning of previously published material.

In an historical database [private](#) annotations are often incorporated within the comment or note fields, yet they unintentionally move to the 'published' dimension once the database is shared with a collaborator or other interested party. In Dobbs' database there are many fields intended from the onset for [publication](#), such as those purposely formatted using strict controls for use in later resource discovery (e.g., metadata), comparative analysis, and the full text transcriptions of each document examined.

Dimension 7: Global vs. institutional vs. workgroup vs. personal annotations

The range of circumstances in which annotations are employed can be various. From the global application of hypertext links leading to related web pages, to those found in communications between shared communities (such as on an institutional or small workgroup level), or simply created for personal use. Each situation may incorporate one or a mixture of the annotation dimensions described.

In this paper the particular historical database being studied was foremost a tool for the researcher's personal annotations. After the data is analyzed a selection of annotations will be made available on a global scale in a variety of formats; a relational database, as metadata linked to GIS compatible features, and in a publishable format (both online and in print). The annotations generated from this research have the potential of being used in a range of situations representative of this dimension.

Annotation = Information (as-thing, as- process, as-knowledge)

Many have grappled with the definition of information offering numerous characterizations. Webster's dictionary (Friend & Guralnik, 1956) suggests that

"...information applies to facts that are gathered in any way, as by reading, observation, hearsay, etc. and does not necessarily connote validity (inaccurate information);...". It further defines knowledge as "...[that which] applies to any body of facts gathered by study, observation, etc. and to the ideas inferred from these facts, and connotes an understanding of what is known (man's knowledge of the universe);..." Both of these fail to impart the subtle transition, or dimensions, that exists between the terms fact (or data) and knowledge.

Here Buckland's (1991) definition of information helps to distinguish this imperceptible scale (e.g., "information-as-thing", "information-as-process," and "information-as-knowledge"), which seems to share facets of Marshall's seven dimensions of annotation. Buckland makes a distinction between three levels of information: the embryonic stage, or basic building block, of "information-as-thing" represented in its simplest form as datum of interest, the middle stage of "information-as-process" where the act of collecting evidence aids in the process of becoming informed, and the final stage of "information-as-knowledge" -- perhaps the culmination of the information seeking process where the 'seeker's' need has been satisfied and understanding achieved (see: Figure 1).

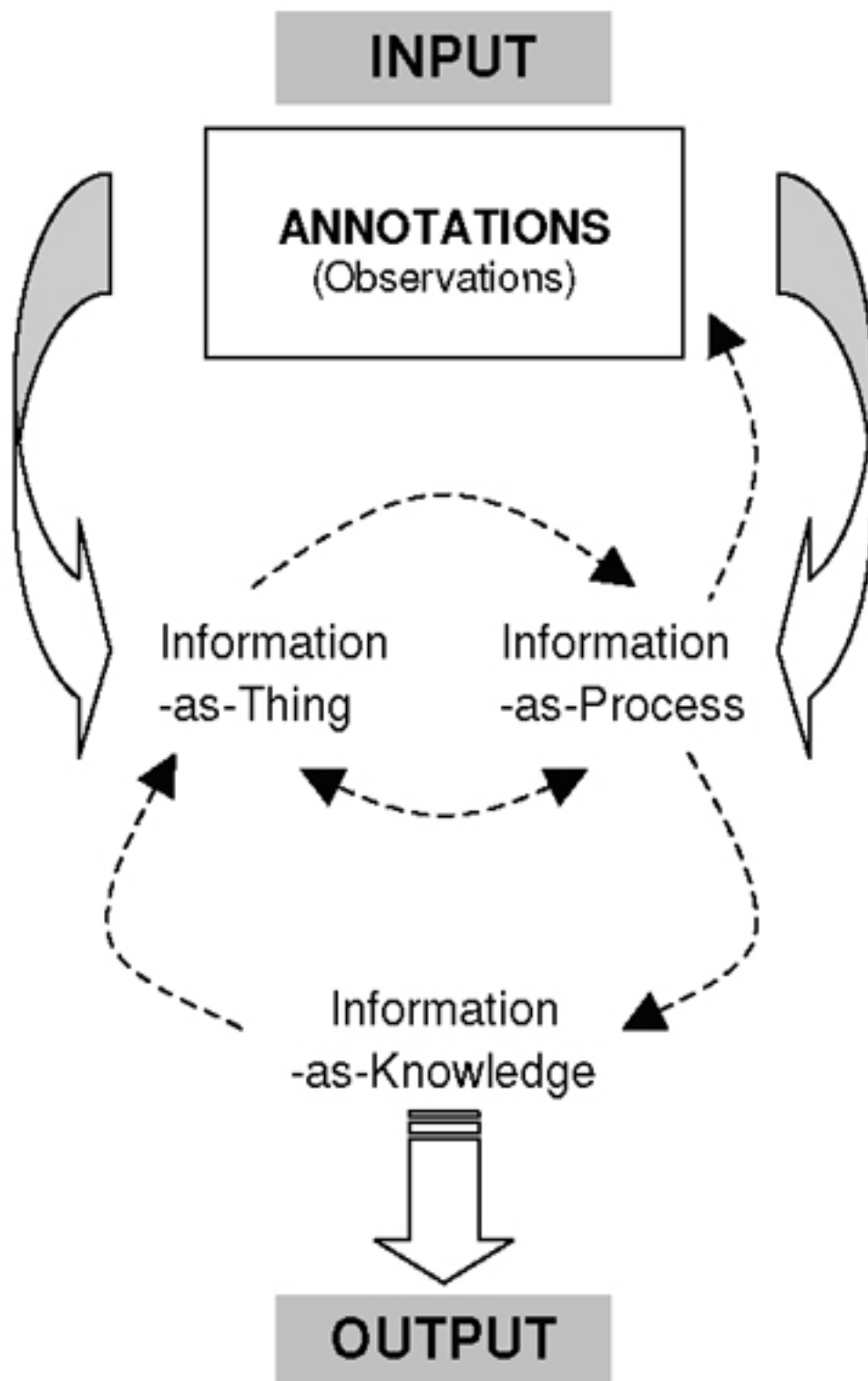


Figure 1. Annotation = Information

As one begins to read a new document or text the range of information initially

collected could represent any one, or a combination, of the seven dimensions of annotation depending on the reader's level of preexisting knowledge and intended objective. Annotations may start out as a vague response to the process of reading or observing, falling under the umbrella of "information-as-thing"; the stage lacking an informative aspect where it may remain or in time shift into the next level of "information-as-process."

As reading continues and annotations (or facts) accumulate the information seeker becomes more informed, transitioning into the next degree of "information-as-process." At this stage the types of data or annotations being collected (both past and future) may need to be adjusted, expanded, or added to in order to incorporate additional dimensions more appropriate for analyzing the evolving inferences.

Historical Geography: Information Seeking in Context

In this section I provide an overview of Dobbs' information-seeking process and share some observations regarding our nearing two-year collaboration (Ruvane & Dobbs, 2005). My role was to develop a flexible database for her use as a "note-taking" tool to collect and organize historical evidence in support of her dissertation research.

In this role I was not only an observer of the information-seeking process but an active participant in the direction and evolution of the added value she sought.

Research Setting: Context of Information-Need

The objective of Dobbs' research was to demonstrate the influence a prominent transportation route, the Indian Trading Path, had on settlement patterns in the mid 18th century and the consequent emergence of today's urban centers. Part of this research would entail identifying the land occupied in the central piedmont region of North Carolina between 1748 and 1763, followed by creating a digital multi-media map to illustrate the findings.

Land in this region, during this time period, was under the control of two separate administrations; Lord Granville held the rights to the northern half of the state, the Colony of North Carolina the southern portion. The materials she deemed most useful to her study were original land grant documents. These hand written papers recorded the four stages (e.g., entry, warrant, survey, deed) of a process that culminated in the issuance of a deed, a grant authorizing a settler the right to occupy a tract of land in return for an annual quit-rent.

Land grant documents contain various levels of detail (or lack thereof) ranging from broad general descriptions of a parcel, such as the estimated acreage and county it resides in, to very detailed descriptions including surveyed plats accompanied by narrative describing the land's relation to geographic features; such as rivers, transportation routes, cultural features and bounding neighbors. Despite the abundance of evidence in these historic records the handwriting styles, use of vernacular names, and cryptic short hand leaves a great deal of uncertainty when deciphering.

Annotations: Use & Motivation

Dobbs wanted to compile notes that would serve as an aid for building a spatially accurate map of the land parcels occupied. To illustrate her findings she would be using a Geographic Information System (GIS). The evidence essential to her research would be survey measurements, key for recreating the shape of each parcel. Also important would be any clues that could help in deducing the physical relationship between individual properties (e.g., the position of adjoining tracts).

Historical Geography: Database Annotation Utility *Conceptualizing & Implementing*

From the onset Dobbs determined a database would be ideal for recording and organizing her research notes, since writing in the margins of primary source material was not an option and making copies of each document to do so would be prohibitive.

After reading through a sample of survey documents (e.g., recordings of the third stage in the land grant process) she identified several categories of information to collect in the database. These included the parcel survey measurements, descriptive narratives, people names, geographic features (e.g., rivers, roads, cultural), administrative characteristics (e.g., county, grantor), and related dates.

Using a relational database application (e.g., MS Access) Dobbs created a simple table (e.g., flat file) and data entry form for recording her observations. In its original format this "annotation tool" proved extremely efficient for taking notes while she read through the survey documents.

Method & Use

Dobbs recorded her research notes in the database concurrently as she viewed microfilm representations of the land grant documents or related material. The primary reason initially for using a database was to capture the survey measurements. These would be exported to another application for building GIS compatible "shape files" for illustrating the size and outline of each parcel (NWF/DEM, 2001). Once the shapes were generated Dobbs' original intent was to print 3 x 5 cards containing the annotative content recorded describing each parcel. This would allow her to sort the cards manually into groups, based on location clues, to assist with positioning each parcel in real time and space on her digital map. Groupings could be sorted into parcels sharing common neighbors, or tracts linked by connecting geographic features, such as rivers or paths, or into clusters of properties within proximity of a familiar cultural amenity or other type of bounding evidence.

What had not been anticipated was how the growing body of data being collected would reshape Dobbs' information-needs. While it was apparent the evidence she was collecting was relevant to her needs, the [original database](#) model and field formats were hindering her ability to easily restructure her observations to improve query analysis. Many of her fields had been informally structured for collecting a mixture of personal commentary, reflecting a range of Marshall's dimensions. As the process

evolved patterns began to emerge indicating that critical keywords had been [interspersed](#) within unstructured annotation fields. These newly discovered categories of evidence needed to be shifted into a more structured dimension to be of value.

The technical complexity of her dilemma was beyond what Dobbs was willing to take on. It was at this stage I offered to design a new database (e.g., relational) capable of capturing, comparing, and linking the mounting number of unforeseen and overlapping clues buried within her unstructured annotation fields.

Collaboration: Informative value of annotations

Dobbs' original database consisted of 43 fields. [Over half](#), 24, were formal annotations (e.g., metadata) representing parcel survey measurements. In reality only [three fields](#) were needed for capturing this information (e.g., sequence, measurement angle, and length), the rest were redundant duplicate columns containing the same category of information; an inefficient method inherently found in a flat file. This [type of redundancy](#), using multiple fields for one entity type, or commingling unique data within one field, was evident across several fields employed for recording evidence in the original database.

The non-survey measurement information Dobbs was collecting primarily represented informal annotations that lacked structure and embodied a variety of Marshall's dimensions. For example, people names were entered into memo fields intermixed with related commentary or clarifications. The same situation existed for geographic feature names, which were recorded along with directional clues such as '...on the north east side of the Yadkin River, above John Smith's property....'. In some cases annotations were cryptic while others were clear.

By sharing her database with me I was able to develop a better understanding of Dobbs' shifting information needs. The informal annotations she had been collecting provided the most insight, illustrating the kinds of observations she had made and how they had changed over time. After I read through a sample of these we met several times to discuss the value and meaning of various notes and what had prompted their

evolution. Our discussions helped to shape the blueprint I used for building a new and more flexible database.

To improve Dobbs' annotations, from an interoperability standpoint, I first focused on analyzing the contents to identify recurring themes appropriate for transitioning into a more formal dimension (e.g., suitable for controlled fields, categorization, new tables, etc.). For example people names had not originally been perceived as a critical piece of evidence for analyzing, so they had been recorded informally and commingled with related commentary as a future memory aid. As Dobbs continued to collect data she began to notice that the roles people played in the transaction of a particular tract of land were an invaluable clue for locating parcels in relation to each other (e.g., adjacent neighbors, near neighbors, likely neighbors, etc.). It was now important to repurpose these annotations to facilitate relationship queries.

In the new database [people names](#) were moved to more formal fields and linked to an expandable "type" category to identify the role each played in a land transaction (e.g., grantee, assignee, neighbor, chain carrier, etc.). A separate memo field was provided for Dobbs to add informal clarification notes to any given name. The same approach was taken with [feature names](#), dates, and parcel descriptions to improve interoperability, provide flexibility for adding new "types", and offer space for assorted author observations. The first rendition of the new database consisted of over 25 formal annotation fields, of which 3 were for survey measurements, and approximately six informal fields.

Although much of the original annotations' content were shifted into categorized fields it did not eliminate the need to continue providing space for recording free text observations. Indeed, the number of informal comment, note, and semi-controlled fields employed grew with each successive modification of the database (e.g., [currently v10.3](#)). These were typically linked to a particular formal field, such as a person or feature name. From the beginning, and throughout our collaboration, the informal fields played a critical role in guiding the direction of each database revision. They continually pointed to new patterns worth formalizing into categories within the database, ever improving the value of the researcher's "note taking" tool, both for her and future

readers.

Conclusions

Marshall's introduction to her paper seems to mirror my observations of Dobbs' information seeking process. By taking a little license with Marshall's words I have repurposed them to explain the similar value of annotations to an historical geographer:

As observed, a database for collecting historical evidence will grow and change by way of addition - for example Dobbs responded to her initial database by adding commentary, making new connections (discovering unexpected relationships) and creating new pathways (fields, tables, and links) in the process of gathering and interpreting the material she was reading. Her activity encouraged the expansion of both the database's structure and content. In so doing, she added crucial value to an existing body of interrelated historical material.

The value of incorporating various dimensions of annotation into a database (or similar electronic tool) designed for collecting historical evidence seems crucial, especially placeholders conducive to recording informal commentary. The historical researcher's process of becoming informed is nonlinear; uncertainty persists throughout the information-seeking activity, especially when one is examining imprecise primary source documents that are inconsistent in both quality and clarity.

For any "note taking" tool to be of value to an historical geographer it should provide built in flexibility and ample space for informal observations. Too much structure, without a method to impart outstanding uncertainty, could render the collective findings unfit for use in future research. Alternatively, as informal annotations grow in volume they may need to be divided into more formal dimensions (e.g., metadata) as new patterns emerge suggesting improvements to interoperability.

Future Research

This study forms the basis for future research into the types of database models best suited for recording imprecise and time dependent historical evidence; both from an individual researcher's needs and those required in a distributed community environment. There are a growing number of collaborative initiatives looking at methods and standards for incorporating the "accurate" display of geographic content, as described in historical resources, with the use of digital mapping tools (e.g., GIS).

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<http://ils.unc.edu/annotation>

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SurID
2

Unit type
chains

Draw as
polygon

No.	Angle	Length
1	s00-00-00e	40.00
2	s90-00-00e	20.00
3	s00-00-00e	40.00
4	s90-00-00e	40.00
5	n00-00-00e	80.00
6	n90-00-00w	60.00
▶	###-##-###	

- a. Survey dimensions restricted by : auto numbers for sequentially pairing angles and lengths, controlled data formats for north-south/east-west directional coordinates, and numerical lengths.

Unit type
chains
chains
poles
rods

Unit type
chains

Draw as
polygon
polygon
line

- b. Restricted by a predefined selection; unit type, draw as

FIG. 1. Formal annotations – restricted to a particular format of data

Type	Description Text
Note	this is the famous James Hall tract you've used to illustrate proposals etc...you have a good image from TPA website
Improved?	
3 copies?	

Informal annotations may be recorded in a comment field. In this example "Type: Note" was designed for the author's reflections on a particular parcel. Here unstructured free text can be recorded to satisfy the readers need or desire to add personal or explanatory comments.

FIG. 2. Informal annotations – unstructured free text

Date type	
▶	3-Survey
*	1-Entry
	2-Warrant
	3-Survey
	4-Survey-2nd copy
	5-Deed/Grant
	6-other
	7-other2
	8-unknown

Feature Lookup			Desc#	Seq#	FeaType	Descpt Term
	Ashleys	New	1	1	Water	Straddles
	Hawtree	New	1	2	Water	of
▶	Trading	New	1	3	Transportation	beg near the
*	Primary name			Sfx name		FeaType
	Trading			Path		Transportation
	Trading			Road		Transportation
	Treble Union			Tract		Cultural
	Troublesome			Creek		Water

a. Type of document
linked to filing date

b. Selectable names and class types for recording features
mentioned in each land grant document

FIG. 3. Explicit annotations – intended for others to read

	Date type	Mnth	Day	Year	Comment	INFER DATE
▶	3-Survey ▼	2	24	1752	"N.S."	
*	▼					

The date of this parcel's physical survey is clear, but only the author knows what "N.S." means.

FIG. 4. Tacit annotations – useful only to the note taker

Type	Description Text
Note	1) this tract basically consists of 2 sides (making a sort of L) and a bend in the river. I don't know why they've surveyed the river course instead of just saying "up the various courses thereof". When plotting, all the segments except the final two should be lined up more or less on the river. Also I suspect errors because two adjacent segments have the same angle (n50-00-00w), which doesn't make sense.
Improved?	
<input type="checkbox"/>	
3 copies?	
<input type="checkbox"/>	2) I've located probable placement assuming creek is "Carters" and not "Cotters"--marked in delorme atlas, p. 36.

Author observations and reference to a related source of interest (e.g., Delorme Atlas, p. 36.)

FIG. 5. Annotation as writing –
purposely adds value to an existing document

Type	Description Text
Note ▾	really cute trees drawn in at the corners ;-)
<hr/>	
Improved?	
<input type="checkbox"/>	
3 copies?	
<input type="checkbox"/>	

Could the use of this emoticon " ;-)" be construed as a form of doodling?

FIG. 6. Annotation as reading –
unconscious highlighting or doodling during act of reading

Type	Description Text
Note	wonder what they mean by a cove?
Improved?	
<input type="checkbox"/>	
3 copies?	
<input type="checkbox"/>	

FIG. 7. Transient annotations –
ephemeral interaction with a text; of little value on a return visit

Type	Description Text
Note	I can find no reason for this to have been filmed in the middle of the S's...no indication of an assignment, and next item on the film is unrelated
Improved?	
<input type="checkbox"/>	
3 copies?	
<input type="checkbox"/>	

This comment adds little value to Dobbs' research objective. But for subsequent researchers being aware that documents may have been misfiled by the original colonial administration, or perhaps the archival institution itself, can be very informative. (Doc ID: 3523)

**FIG. 8. Permanent annotations –
transient notes that unintentionally become informative**

Relation type	Doc Relation Comment
01-Grantee ▾	i just love these dyslexic guys??
12-Chain Carrier ▾	
12-Chain Carrier ▾	
▾	

The author's personal reaction to confusing information recorded in the original document

FIG. 9. Private annotations

Description Text
<p>Feb'y 19th 1751/2 Surved for Georg Blain a trac of land containing six hundred & fourty one accers of land lying in Anson County in North Carolina in the Earl of Granvils District on the north side of the Catoba River on Third Creek on both sides about three mille above Thomas Gilespys survey Begins at a hikery runs Nt 270 po to a white oak then East 380 po to a white oak then St 270 po to a ride oak then West 380 po to beginning pr me Fran Makilwean Depy Sur</p>

- a. Full transcription of a survey document, as recorded in the main data entry form: Doc ID 32.

Desc#	Seq#	FeaType	Descpt Term	Primary name	Sfx name
1	1	Water	On N side of	Catawba	River
1	2	Water	Straddles	Third	Creek
1	3	Parcel	about 3 miles above	Gilespy's, Thomas	land

- b. A "Feature Lookup" sub-form, part of the main data entry screen, allows Dobbs' to parse keywords of interest from the full transcription. Sequential numbering allows for concatenation into full sentences (e.g. George Blain's parcel is 'On N side of Catawba River, straddles Third Creek, about 3 miles above Thomas Gilespy's land.')

FIG. 10. Published annotations – intended for publication

surveydata

ID	survey year	os-year	data source		aag-src?
33	1752	1751	copy from state archives mf		<input checked="" type="checkbox"/>
surveyed for		assigned to	acres		
William Alexander			588		
county		adjacent to			
Anson		David Huston			
grantor					
Granville					
surveyor		location keywords			
mackilwean		north side of Catawba, north side of Coddle Creek			
transp features noted					
full transcription					
Feb 10d 1751/2 Surved for William Alexander a trac of land containing five hundred & eighty eight accers of land lying in Anson County in North Carolina in the Earl of Granvils District on the north side of the Catoba River on the north side of Codel Creek joyning David Hustons survey on a branch of said creek Beginning at a black oak runs Est 336 po to a pine then North 280 po to a black oak then West 336 po to a hikory then St to the beginning pr me Fran Makilwean Dep Sur					
units	who	date entered	filename		
rods	grd	10/21/2003	anson-alexander1.txt		
survey angle 1	survey length 1	survey angle 5	survey length 5	survey angle 9	survey length 9
n90-00-00e	84		0		0
survey angle 2	survey length 2	survey angle 6	survey length 6	survey angle 10	survey length 10
n00-00-00e	70		0		0
survey angle 3	survey length 3	survey angle 7	survey length 7	survey angle 11	survey length 11
n90-00-00w	84		0		0
survey angle 4	survey length 4	survey angle 8	survey length 8	survey angle 12	survey length 12
s00-00-00e	70		0		0

Record: 32 of 293

draw as
☐ line
☒ polygon

a. Original data entry form for recording information from survey documents

surveydata

ID
survey year
os-year
data source
surveyed for
assigned to
acres
county
grantor
adjacent to
surveyor
location keywords
transp features noted
full transcription
units
who
date entered
survey angle 1
survey length 1
survey angle 2
survey length 2
survey angle 3
survey length 3
survey angle 4
survey length 4
survey angle 5
survey length 5
survey angle 6
survey length 6
survey angle 7
survey length 7
survey angle 8
survey length 8
survey angle 9
survey length 9
survey angle 10
survey length 10
survey angle 11
survey length 11
survey angle 12
survey length 12
filename
draw as

b. Underlying single table

FIG. 11. Original data entry form and single database table

Microsoft Access - [surveydata : Table]

File Edit View Insert Format Records Tools Window Help

ID	location keywords	transp features noted
215	north side of Haw River; a mile above John Hammond's; upper side of Cain Creek; both	the Great Road
115	in the forks of the Yadkin; near Sandy Creek?	Sandy Creek Ford on path to Squire Boon's
206	on branches of Meadow Creek and Lick Creek; [straddles] Cape Fair Road	road to Cape Fair
159	Forrester Creek (?); Hico Road; Orange Court House	Hico Road to Orange Court House
285	north side of a tributary of Clarks Creek	Gum Log Ford
229	[abutts] north side of a tributary of Clarks Creek, about 1/4 mile above Gum Log Ford	Gum Log Ford
219	west side of Catawba River; Lyle Creek	dwg shows dble dotted line which could be either a road or the creek
75	Second Creek	could be "where the Road crosses"??

Record: 10 of 293

Datasheet View

a. Searchable keywords commingled with unstructured annotation

FIG. 12. Original database retrieval issues - annotation mixed with search terms

Microsoft Access - [surveydata : Table]

File Edit View Insert Format Records Tools Window Help

ID	surveyed for	angle 1	length1	angle 2	length2	angle 3	length3	angle 4	length4	angle 5	length5	angle 6	length6
523	Martain Wallock	n40-00-00e	26	n15-00-00w	65	s90-00-00w	20		0		0		
524	Joseph Wells	s90-00-00w	80	n00-00-00e	50	n90-00-00e	80	s00-00-00e	50		0		
525	Benjamin Winslow	s00-00-00e	58.75	n90-00-00e	100	n00-00-00e	58.75	s90-00-00w	100		0		
526	Benjamin Winslow	s90-00-00w	72	n00-00-00e	6	s90-00-00w	30	n00-00-00e	86	n90-00-00e	40	n00-00-00e	
527	John McConnel	n90-00-00e	100	n00-00-00e	66.25	s90-00-00w	61	s00-00-00e	19.25	s90-00-00w	39	s00-00-00e	
528	Alexander McCullough	n00-00-00e	64	s90-00-00w	100	s00-00-00e	64	n90-00-00e	100		0		
529	John McDowel	s00-00-00e	80	s90-00-00w	80	n00-00-00e	80	n90-00-00e	80		0		
530	Thomas McQuowin	n00-00-00e	80	n90-00-00e	80	s00-00-00e	80	s90-00-00w	80		0		

Record: 191 of 212

use chains--will be converted to rods when you query/export

a. Twenty-four redundant fields used for two entity types: angles and lengths

Microsoft Access - [surveydata : Table]

File Edit View Insert Format Records Tools Window Help

ID	surveyed for	assigned to	adjacent to	prior occupant	current occupant	chain carrier1	chain carrier2
508	John Wright				John Wright		
509	John Mackelhenny					Squire Boon	Elijah Mackey
511	Alexander Osborn		John Bravard		Alexander Osburn		
513	Alexander Osborn		Robert Bravard				
514	Alexander Osborn		Archibald Hambleton				
515	John Pike		Well?			John Sidwell	Anthony Chamness
516	Robert Reed		James Cathey			John Little	John Reed
517	Thomas Ridge					Charles Quail	Jos Day

Record: 180 of 212

Datasheet View

b. Seven redundant fields used for one entity type: people names

One cause of retrieval problems in a flat file are the "data redundancies", inherent in a single table database design. Shown here are two views showing 19 out of the 43 fields in Rebecca's original database. The top illustration shows the use of multiple columns for collecting sequentially paired survey measurements: angle and distance (the sequence identified by: 1, 2, 3, etc.). The lower image shows multiple columns being used for collecting different types of people names: such as the person the land was surveyed for, assigned to, adjacent to, who the prior occupant was, or current occupant, and who the chain carriers were, etc..

Creating separate fields (or columns) for entities that share common attributes makes it very difficult to retrieve and compare information. For instance, when looking for people with the same name an advanced union query would be necessary to join the seven individual 'person name' columns into one list for comparison. To make matters more complicated, the content entered into these fields was inconsistently formatted.

FIG 13 Original database retrieval issues - multiple columns

FIG. 13. Original database retrieval issues - multiple columns

surveydata : Table

ID	chain carrier1	chain carrier2
517	Charles Quail	Jos Day
518		
519		
520	Alexander Story	Jacob Cuins
521		
523	Dillon Lane	Daniel Garret
524		
525	John Meconel	Edward Givens
526	Moses Winslow	Robert Johnston
527	Thomas Erwin	George Cathey

Record: 1 of 212

a. Multiple columns, across row

surveydata : Table

ID	Adjacent to
421	John McDowel; William Hall
422	John Park; Lord Granville
423	his own
424	
425	Thomas Gillaspie; Robert Reed; Madilh
426	Alexander McCulloch
427	William Linville
428	Jones
429	William Shirrell
430	Moses Andrew

Record: 1 of 212

b. One column, names commingled

FIG. 14. Original database retrieval issues – multiple values & complex attributes

Microsoft Access - [surveydata : Table]

ID	surveyed for	adjacent to
619	Alexander McCulloch	his own
421	John McCulloch	John McDowel; William Hall
620	James McCulloch	his own; George Davison Jr
422	John McCullough	John Park; Lord Granville
528	Alexander McCullough	
529	John McDowel	
423	John McDowell	his own
425	Jahn McKlawrath	Thomas Gillaspie; Robert Reed; Madilh
428	James McMillian	Jones
426	William McNaght	Alexander McCulloch
429	Robert McPharson	William Shirrell
530	Thomas McQuowin	
430	William McRee	Moses Andrew
433	Samuel Mead	
436	Mordecai Mendanhall	his own
435	Mordecai Mendinghall	his own
434	Charity Mendinghall	
439	Barnet Michael	Frederick Michael
437	Christian Miller	
438	Robert Miller	George Davison; McColluch
440	Andrew Mitchel	
441	Conrade Mole	
442	Richard Moreby	
446	William Morrison	
447	William Morrison	his own

Record: 109 of 212

Datasheet View

a. BEFORE: Portion of original single table database

Microsoft Access - [PEOPLE : Table]

PerID	Pfx	First name	M	Last name	Sfx
+	188	James		Morrison	
+	189	William		Morrison	
+	535	Andrew		Morrison	

Record: 942 of 1324

Concatenated full name

NUM

b. AFTER: new PEOPLE table

Microsoft Access - [PEO_ASSOC_WITH : Table]

.perID	.docID	Relation type	Relation Comment
188	77	Neighbor-near	
188	82	Grantee	
188	83	Neighbor	
189	5	Chain carrier	
189	30	Assignee	
189	83	Grantee	
189	83	Neighbor	his own
189	84	Grantee	

Record: 392 of 2411

Comment specific to an individual rel

NUM

c. AFTER: new PEO_ASSOC_WITH table

On the left (a.) is a small portion of Dobbs' original single table database. These columns represent just two, out of the seven, she had been using to record different categories of people; who a property had been "surveyed for" and who it was "adjacent to". This format made it impossible to sort by last name, and was difficult to query for a particular person that could exist across multiple columns.

In the new database, two tables (b. and c.) were used to record information about people. The PEOPLE table (b.) stores unique names in a simple attribute format; the prefix, first name, middle name, last name, and suffix of each individual. This eliminated duplicate names found across columns in the old database and facilitated sorting.

The second table (c.), PEO_ASSOC_WITH, was linked to the PEOPLE table using a one to many relationship for identifying the "role" each unique name played in an individual land grant transaction; roles such as grantee, assignee, neighbor, chain carrier. As new roles were identified Dobbs could easily add a new category, as she did for the terms "prior claimant", "Attester" and "debtor" that appeared in later documents. In the old database she would have needed to add new columns. A place for recording unstructured annotative comments was also included (e.g., Relation comment).

was also included (e.g., Relation comment).

FIG. 15. Before and after methods for recording people names

ID	location keywords	transp features noted
157	McCulloh's line, Great Lick Creek, waters of Neuse	
158	Double Creek	
159	Forrester Creek (?); Hico Road; Orange Court House	Hico Road to Orange Court House
160	waters of Little River	
161	Lick Creek or Fork (waters of Cape Fear)	
162	[straddles] Shadocks [Shaddox?--see gazetteer] Creek, waters of Haw River	

Record: 162 of 293

a. BEFORE: Portion of original database

FeatID	FeaType	Primary name	Sfx name
+	144 Cultural	McCulloh's	Line
+	145 Water	McDowells	Creek
+	146 Water	Meadow	Creek
+	147 Water	Mendinghales	Creek
+	148 Water	Middle	Creek
+	149 Water	Mill	Fork
+	150 Water	Mill	Pond
+	151 Cultural	Moravian	land
+	152 Water	Mud	Branch
+	153 Water	Mudlick	Creek
+	154 Water	Neuse River	Basin

Record: 154 of 411

b. AFTER: new FEATURE table

.featID	.parID	Descpt#	Seq#	Descpt Term	Comment
144	157	3	1	abutts	
144	179	2	2	abutts	
144	187	3	1	Tract includes	
144	309	2	1	Tract includes	
144	1105	3	1	unknown relationship	prob joins, but unclear
145	284	1	1	On S fork of	
146	206	1	1	On branches of	
147	171	2	1	Straddles	below lower falls of
148	100	2	1	On W side of	
148	1057	1	1	beg in fork of	
148	1094	1	1	On N side of	

Record: 511 of 1089

c. AFTER: new FEATURE LOCATORS table

Features were being recorded in Dobbs' original table in two separate columns (or fields); one designed for geographic evidence (e.g., location keywords) the other for storing transportation features (e.g., transp features noted). This format made it impossible to sort by feature name, and difficult to form queries for comparing between the two as well to other columns containing related content, such as people names.

In the new database, two tables (b. and c.) were used to consolidate both types of features in order to collect the data more efficiently. The new FEATURE table (b.) contains fields for the type of feature (e.g., water, cultural, transportation, etc), the feature's primary name and related "suffix" (e.g., creek, fork, pond, basin). The new FEATURE LOCATORS table (c.) is linked to the FEATURES table and stores directional terms or commentary that had previously been commingled with the feature's name. Removing the unstructured "annotation" from a feature's primary name greatly improved the database's search capabilities. As an added bonus Dobbs' could now search on a feature's primary name and a person's last name to find matching records, which is often helpful. For example "Cathey" is the last name of a grantee and also the name of a creek (e.g., Cathey's Creek).

a grantee and also the name of a creek (e.g., Cathey's Creek).

FIG. 16. Before and after methods for recording feature names

DocID 3970 **DocType** Warrant

Date Entered
2/27/2005

ParID 3960 **Grantor** Granville

Date type **Mnth** **Day** **Year** **Comment** **INFER DATE**

1-Entry 5 2 1752

2-Warrant 11 7 1755

Format microfilm **Institute** NC State Archives

Call No.
S.108.275

County
Granville

Surveyor hs-Haywood S. **Acres** 640 **Basin**

Type	Description Text
brief	on the W-----ng of Cedar Creek, including Jeffery's cabin join'g -----hinson's, Osborn Jefferys and Plowman's lines
Improved?	<input checked="" type="checkbox"/>
3 copies?	<input type="checkbox"/>

SurID	No.	Angle	Length
itoNumC			
Unit type			
Draw as			

Feature Lookup	Desc#	Seq#	FeaType	Descpt Term	Primary name	Sfx name	Doc Locator	Comment
Cedar New	1	1	Water	On	Cedar	Creek	prob on W prong of	
cabin New	2	1	Cultural	Tract includes	cabin	n/a	Jeffery's	
Brandy New	3	1	Water	unknown relationship	Brandy	Creek	mentioned on back only	
* New								

People Lookup	Pfx	First name	M	Last name	Sfx	Relation type	Doc Relation	Comment	Per/Alias	Comment
Morgan New		Robert		Morgan		01-Grantee	Morgen on back			
Plowman New		not given		Plowman		06-adj. Neighbor				
Hutchinson New		not given		Hutchinson		06-adj. Neighbor	name is partially obscured on this			
Jefferys New		Osborn		Jefferys		06-adj. Neighbor				

Refresh



OPEN ANOTHER RECORD
by Last Name Search

View/Edit
All Features

View/Edit
All People

DocID
Lookup

Old Peo
Lookup

Old Fea
Lookup



Fig 17. Current data entry form – populates multiple relational tables