“We Own It”: Dealing With Perpetual Access In Big Deals

Gwen Bird
Simon Fraser University

Andrew Waller
University of Calgary
What We’ll Be Looking At

- Project Background
- CRKN and the Canadian Situation
- Definitions
- Perpetual Access Scenarios
- The Survey
  - Methodology
  - Holdings Survey
  - Qualitative Survey
  - Publisher & Consortium View
- Some Final Thoughts
- Discussion
Background to the Project

- E-journals, “big deals”
- Questions: What does “perpetual access” actually mean? What happens in practice? Is it working?
- CRKN:
  - Academic Press (AP)
  - American Chemical Society (ACS)
  - Institute of Physics (IOP)
  - Royal Society of Chemistry (RSC)
  - ScienceDirect (Elsevier)
  - Springer LINK (Springer)
CRKN and the Canadian Situation

☐ A-Zed = A-Zee

☐ CRKN=Canadian Research Knowledge Network

www.researchknowledge.ca

☐ Canadian situation vs. the U.S. situation
Definitions

☐ Big Deals
- Bundled fulltext e-journals
- Direct from publisher
- Usually consortial

☐ Perpetual Access
- The licensee should have access to the electronic content that falls into the years for which the licensee had a subscription
- Perpetual access vs. archival rights
A Perpetual Access Clause
(www.crkn.ca/pr/?modellicense=1)

- On termination of this Agreement, the Consortium, Authorized Users and Walk-in Users shall retain the right to access and use in archived form the content... On termination of this Agreement, the Publisher shall at its option:
  - provide each Member, on request, with an electronic copy of the content of the Database for the period of time set out in Schedule 3 up to the date of termination, or
  - provide for continued access to the Licensed Materials on the Server for the period of time set out in Schedule 3 up to the date of termination
Perpetual Access Scenarios

- Subscription to a journal that ceases publication
- Subscription to a journal that is cancelled by a library
- Subscription to a journal that is sold or transferred to another publisher
- Subscription to a journal whose publisher goes out-of-business
- Subscription to a bundle of journals that has a fluid title list
- Subscription to a bundle of journals where the publisher goes out of business
- Subscription to a bundle of journals where the publisher is bought completely or partially by another publisher
- Subscription to a bundle of journals that is not renewed by a library or consortium
- Subscription to a bundle of journals that is cancelled by a library or consortium
Perpetual Access Scenarios In Licenses

- License states that perpetual access is included
- License specifies a particular method of fixed media delivery
- License specifies that the content will be stored on the publisher’s server
- License specifies that the content will be stored on a third-party server
- License allows for local loading of the content
- License specifies that the content can be included in a distributed cooperative caching venture
- License specifies that the content can be archived by the licensee in some way
The Survey - Methodology

- 64 university libraries
- 6 full-text e-journal packages
- Quantitative survey
  - holdings
- Qualitative survey
  - comments by email
- Publisher & consortium views
# Titles Sold

<table>
<thead>
<tr>
<th>Title</th>
<th>Publisher</th>
<th>Date</th>
<th>Perpetual access?</th>
<th>Library holdings updated (of 60)</th>
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<tbody>
<tr>
<td>Geochemical transactions</td>
<td>RSC</td>
<td>2004</td>
<td>✓</td>
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<td>Pesticide outlook</td>
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<td>2004</td>
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<td>28</td>
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<td>Acta mathematica scientia</td>
<td>Springer</td>
<td>2002</td>
<td>×</td>
<td>60</td>
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<td>Computational statistics</td>
<td>Springer</td>
<td>2001</td>
<td>×</td>
<td>57</td>
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<td>Amer. journal of evaluation</td>
<td>Elsevier</td>
<td>2005</td>
<td>✓</td>
<td>26</td>
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<td>Cornell hotel &amp; restaurant admin</td>
<td>Elsevier</td>
<td>2004</td>
<td>✓</td>
<td>32</td>
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<td>Review of radical political econ</td>
<td>Elsevier</td>
<td>2003</td>
<td>✓</td>
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<td>Thalamus &amp; related systems</td>
<td>Elsevier</td>
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<td>23</td>
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<tr>
<td>Journal of turbulence</td>
<td>IOP</td>
<td>2005</td>
<td>✓</td>
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<td>Public understanding of science</td>
<td>IOP</td>
<td>2003</td>
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# Titles Added

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<tbody>
<tr>
<td>Accounting forum</td>
<td>Elsevier</td>
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<td>Applied &amp; preventive psychology</td>
<td>Elsevier</td>
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<tr>
<td>Focus on pigments</td>
<td>Elsevier</td>
<td>2002</td>
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<tr>
<td>Jrl of cosmology &amp;astroparticle physics</td>
<td>IOP</td>
<td>2004</td>
<td>35</td>
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<tr>
<td>Journal of geophysics &amp; engineering</td>
<td>IOP</td>
<td>2004</td>
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<tr>
<td>Organic &amp; biomolecular chemistry</td>
<td>RSC</td>
<td>2003</td>
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<tr>
<td>Photochem and photobiological science</td>
<td>RSC</td>
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<td>EcoHealth</td>
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<td>Springer</td>
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<td>Sleep &amp; breathing</td>
<td>Springer</td>
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Holdings Survey

Summary of Holdings Accuracy

Number of libraries

Holdings correct

9/11/2006

NASIG 20th Annual Conference
Qualitative Survey

a) Is your library actively tracking titles transferred into and out of electronic journal packages licensed directly from publishers?

b) Have you ever claimed your library's perpetual access rights to a journal that has been *sold or transferred* by the publisher?

c) Have you ever exercised your perpetual access rights under a license to journal content for which you have *cancelled* the current subscription?

d) We welcome any other thoughts that you have on the issue of perpetual access and e-journal packages.
Publisher and consortium views

- Consortium: “as difficult for us as it is for you”

- Publishers
  - Stability is important
  - Publishing is a business (therefore titles will be sold)
  - Best efforts to notify subscribers of sales
Further investigation

- Large vs. small libraries?
- Role of commercial providers in keeping holdings up to date
Conclusions – a role for each

- Librarians
- Intermediaries
- Publishers
Some Final Thoughts

- Some challenges not yet faced
  - Big publisher goes out-of-business
  - Not in court
  - Perpetual access for individual articles
- No going back
- Still early days
Questions? Discussion?
Some Suggested Discussion Topics

- Tell us about your situations – the U.S. perspective, the non-Canadian, non-US perspective
- Perpetual access success stories
- Perpetual access horror stories
- Perpetual Access vs. Archival Rights
Your Speakers

☐ Gwen Bird
   Head, Collections Management
   Simon Fraser University
   gbird@sfu.ca

☐ Andrew Waller
   Serials Librarian
   University of Calgary
   waller@ucalgary.ca